
Lecture 17: 4.9

Matrix Transformations

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2011/11/16

Zero Transformation

- Zero Transformation from R^n to R^m
 - If O is the $m \times n$ zero matrix and $\mathbf{0}$ is the zero vector in R^n , then for every vector \mathbf{x} in R^n

$$T_O(\mathbf{x}) = O\mathbf{x} = \mathbf{0}$$

- So multiplication by zero maps every vector in R^n into the zero vector in R^m . We call T_O the zero transformation from R^n to R^m .

Identity Operator

- Identity Operator on R^n

- If I is the $n \times n$ identity, then for every vector \mathbf{x} in R^n

$$T_I(\mathbf{x}) = I\mathbf{x} = \mathbf{x}$$

- So multiplication by I maps every vector in R^n into itself.
- We call T_I the **identity operator** on R^n .

A Procedure for Finding Standard Matrices

- To find the standard matrix A for a matrix transformations from R^n to R^m :
- $\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n$ are the standard basis vectors for R^n .
- Suppose that the images of these vectors under the transformation T_A are

$$T_A(\mathbf{e}_1) = A\mathbf{e}_1, T_A(\mathbf{e}_2) = A\mathbf{e}_2, \dots, T_A(\mathbf{e}_n) = A\mathbf{e}_n$$

- $A\mathbf{e}_j$ is just the j th column of the matrix A , Thus,

$$A = [T] = [T(\mathbf{e}_1) \mid T(\mathbf{e}_2) \mid \dots \mid T(\mathbf{e}_n)]$$

Reflection Operators

- In general, operators on R^2 and R^3 that map each vector into its symmetric image about some line or plane are called **reflection operators**.
- Such operators are linear.

Example

- If we let $\mathbf{w}=T(\mathbf{x})$, then the equations relating the components of \mathbf{x} and \mathbf{w} are

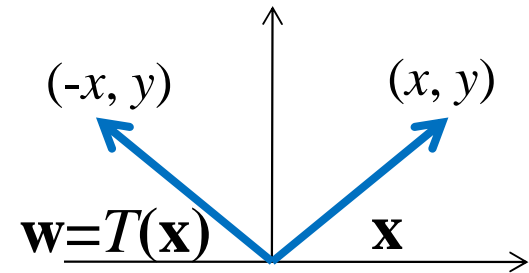
$$w_1 = -x = -x + 0y$$

$$w_2 = y = 0x + y$$

or, in matrix form

$$\begin{bmatrix} w_1 \\ w_2 \end{bmatrix} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

- The standard matrix for T is $\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$



Reflection Operators (2-Space)

Operator	Illustration	Equations	Standard Matrix
Reflection about the y -axis		$w_1 = -x$ $w_2 = y$	$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$
Reflection about the x -axis		$w_1 = x$ $w_2 = -y$	$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$
Reflection about the line $y = x$		$w_1 = y$ $w_2 = x$	$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$

Reflection Operators (3-Space)

Operator	Illustration	Equations	Standard Matrix
Reflection about the xy -plane		$w_1 = x$ $w_2 = y$ $w_3 = -z$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$
Reflection about the xz -plane		$w_1 = x$ $w_2 = -y$ $w_3 = z$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$
Reflection about the yz -plane		$w_1 = -x$ $w_2 = y$ $w_3 = z$	$\begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Projection Operators

- In general, a **projection operator** (or more precisely an **orthogonal projection operator**) on R^2 or R^3 is any operator that maps each vector into its orthogonal projection on a line or plane through the origin.
- The projection operators are linear.

Example

- Consider the operator $T: R^2 \rightarrow R^2$ that maps each vector into its orthogonal projection on the x -axis. The equations relating the components of \mathbf{x} and $\mathbf{w}=T(\mathbf{x})$ are

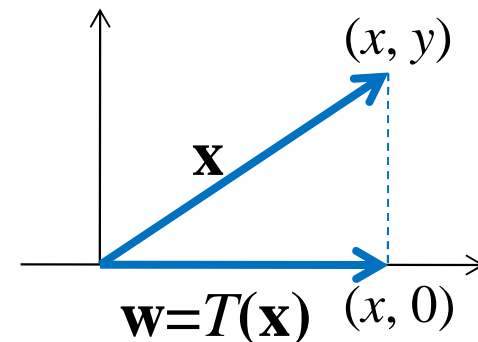
$$w_1 = x = x + 0y$$

$$w_2 = 0 = 0x + 0y$$

or, in matrix form

$$\begin{bmatrix} w_1 \\ w_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

- The standard matrix for T is $\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$



Projection Operators

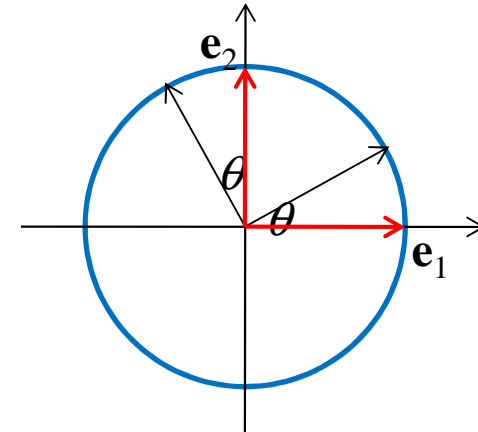
Operator	Illustration	Equations	Standard Matrix
Orthogonal projection on the x -axis		$w_1 = x$ $w_2 = 0$	$\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$
Orthogonal projection on the y -axis		$w_1 = 0$ $w_2 = y$	$\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$

Projection Operators

Operator	Illustration	Equations	Standard Matrix
Orthogonal projection on the xy -plane		$w_1 = x$ $w_2 = y$ $w_3 = 0$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$
Orthogonal projection on the xz -plane		$w_1 = x$ $w_2 = 0$ $w_3 = z$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$
Orthogonal projection on the yz -plane		$w_1 = 0$ $w_2 = y$ $w_3 = z$	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Rotation Operators

- The rotation operator $T:R^2 \rightarrow R^2$ moves points counterclockwise about the origin through an angle θ
- Find the standard matrix
- $T(\mathbf{e}_1) = T(1,0) = (\cos \theta, \sin \theta)$
- $T(\mathbf{e}_2) = T(0,1) = (-\sin \theta, \cos \theta)$



Operator	Illustration	Equations	Standard Matrix
Rotation through an angle θ		$w_1 = x \cos \theta - y \sin \theta$ $w_2 = x \sin \theta + y \cos \theta$	$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$

Example

- If each vector in R^2 is rotated through an angle of $\pi/6$ (30°), then the image \mathbf{w} of a vector

$$\mathbf{x} = \begin{bmatrix} x \\ y \end{bmatrix}$$

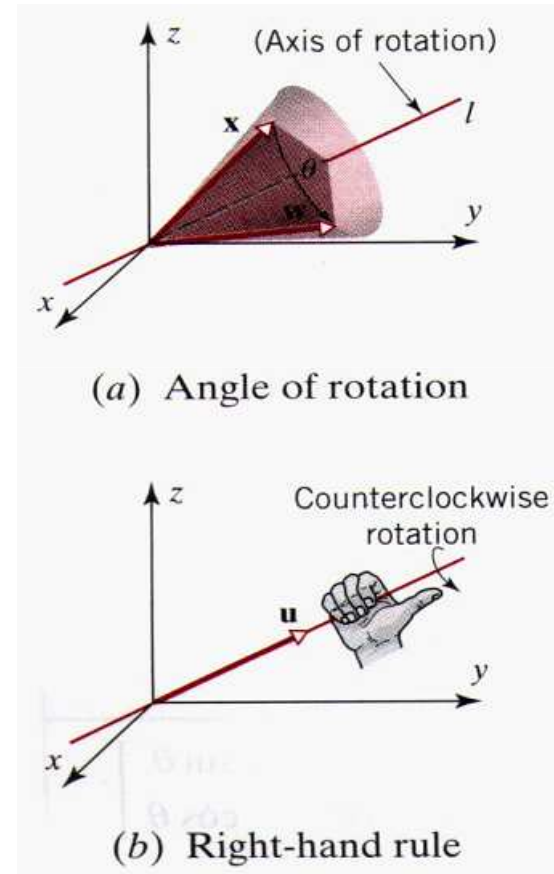
$$\text{is } \mathbf{w} = \begin{bmatrix} \cos \pi/6 & -\sin \pi/6 \\ \sin \pi/6 & \cos \pi/6 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \sqrt{3}/2 & -1/2 \\ 1/2 & \sqrt{3}/2 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \sqrt{3}/2 x - 1/2 y \\ 1/2 x + \sqrt{3}/2 y \end{bmatrix}$$

- For example, the image of the vector

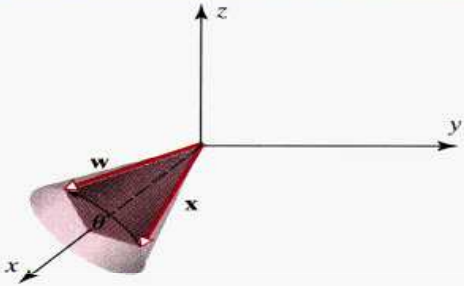
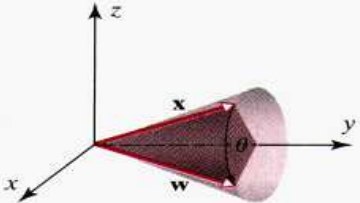
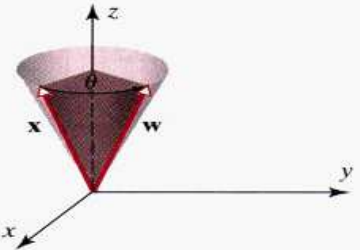
$$\mathbf{x} = \begin{bmatrix} 1 \\ 1 \end{bmatrix} \quad \text{is } \quad \mathbf{w} = \begin{bmatrix} \frac{\sqrt{3} - 1}{2} \\ \frac{1 + \sqrt{3}}{2} \end{bmatrix}$$

A Rotation of Vectors in R^3

- A rotation of vectors in R^3 is usually described in relation to a ray emanating from the origin, called the **axis of rotation**.
- As a vector revolves around the axis of rotation it sweeps out some portion of a cone.
- The **angle of rotation** is described as "clockwise" or "counterclockwise" in relation to a viewpoint that is along the axis of rotation *looking toward the origin*.
- The axis of rotation can be specified by a nonzero vector \mathbf{u} that runs along the axis of rotation and has its initial point at the origin.
- The counterclockwise direction for a rotation about its axis can be determined by a "right-hand rule".



A Rotation of Vectors in R^3

Operator	Illustration	Equations	Standard Matrix
Counterclockwise rotation about the positive x -axis through an angle θ		$w_1 = x$ $w_2 = y \cos \theta - z \sin \theta$ $w_3 = y \sin \theta + z \cos \theta$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}$
Counterclockwise rotation about the positive y -axis through an angle θ		$w_1 = x \cos \theta + z \sin \theta$ $w_2 = y$ $w_3 = -x \sin \theta + z \cos \theta$	$\begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}$
Counterclockwise rotation about the positive z -axis through an angle θ		$w_1 = x \cos \theta - y \sin \theta$ $w_2 = x \sin \theta + y \cos \theta$ $w_3 = z$	$\begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Dilation and Contraction Operators

- If k is a nonnegative scalar, the operator on R^2 or R^3 is called a contraction with factor k if $0 \leq k \leq 1$ (以因素 k 收縮) and a dilation with factor k if $k \geq 1$ (以因素 k 膨脹).

Operator	Illustration	Equations	Standard Matrix
Contraction with factor k on R^3 ($0 \leq k \leq 1$)	<p>A 3D coordinate system with axes x, y, and z. A red vector \mathbf{x} originates from the origin and points to a red dot at coordinates (x, y, z). A shorter grey vector \mathbf{w} originates from the origin and points to a grey dot at coordinates (kx, ky, kz).</p>	$w_1 = kx$ $w_2 = ky$ $w_3 = kz$	$\begin{bmatrix} k & 0 & 0 \\ 0 & k & 0 \\ 0 & 0 & k \end{bmatrix}$
Dilation with factor k on R^3 ($k \geq 1$)	<p>A 3D coordinate system with axes x, y, and z. A red vector \mathbf{x} originates from the origin and points to a red dot at coordinates (x, y, z). A longer grey vector \mathbf{w} originates from the origin and points to a grey dot at coordinates (kx, ky, kz).</p>	$w_1 = kx$ $w_2 = ky$ $w_3 = kz$	

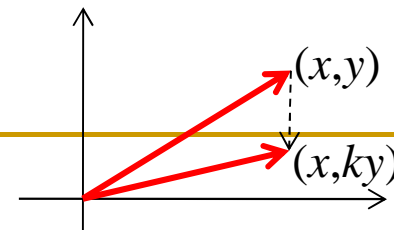
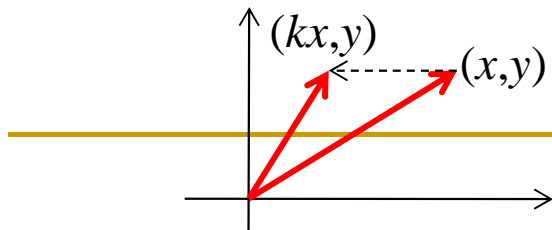
Compression or Expansion

- If $T: R^2 \rightarrow R^2$ is a compression ($0 < k < 1$) or expansion ($k > 1$) in the x -direction with factor k , then

$$T(\mathbf{e}_1) = T\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} k \\ 0 \end{bmatrix} \quad T(\mathbf{e}_2) = T\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

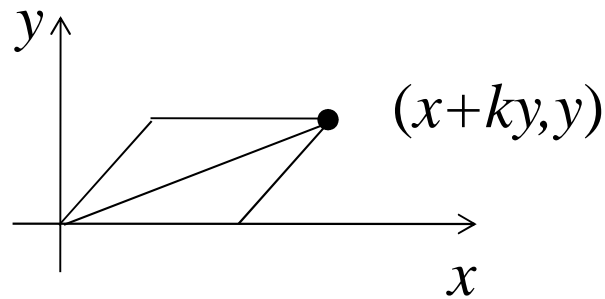
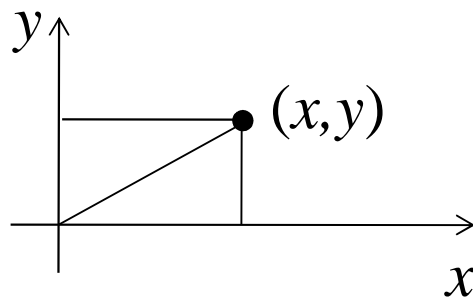
so the standard matrix for T is $\begin{bmatrix} k & 0 \\ 0 & 1 \end{bmatrix}$.

- Similarly, the standard matrix for a compression or expansion in the y -direction is $\begin{bmatrix} 1 & 0 \\ 0 & k \end{bmatrix}$

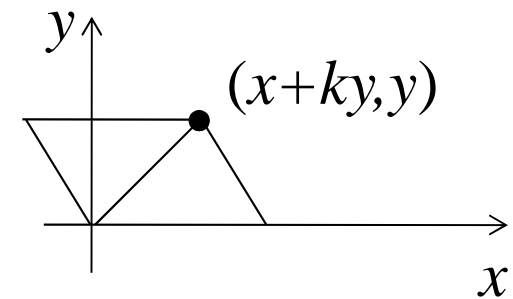


Shears

- A shear (剪) in the x -direction with factor k is a transformation that moves each point (x,y) parallel to the x -axis by an amount ky to the new position $(x+ky,y)$.
- Points farther from the x -axis move a greater distance than those closer.



$k > 0$



$k < 0$

Shears

- If $T: R^2 \rightarrow R^2$ is a shear with factor k in the x -direction, then

$$T(\mathbf{e}_1) = T\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} x + ky \\ y \end{bmatrix} = \begin{bmatrix} 1 + k0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

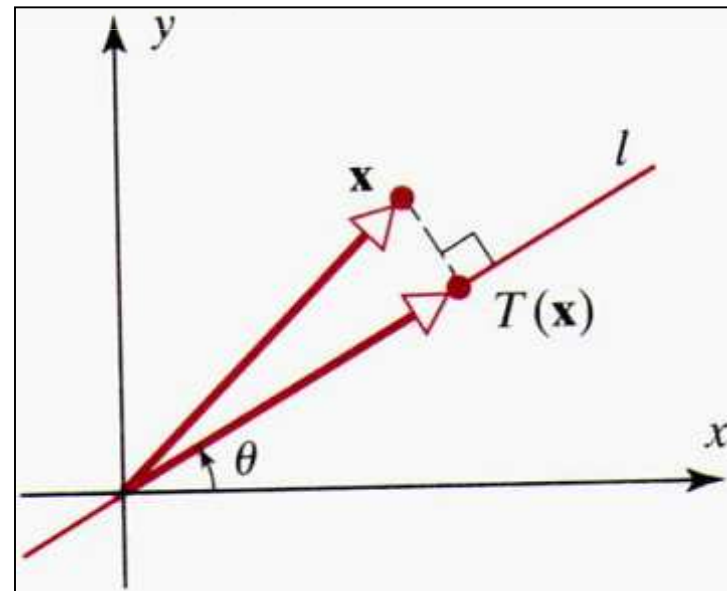
$$T(\mathbf{e}_2) = T\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} x + ky \\ y \end{bmatrix} = \begin{bmatrix} 0 + k1 \\ 1 \end{bmatrix} = \begin{bmatrix} k \\ 1 \end{bmatrix}$$

- The standard matrix for T is $\begin{bmatrix} 1 & k \\ 0 & 1 \end{bmatrix}$

- Similarly, the standard matrix for a shear in the y -direction with factor k is $\begin{bmatrix} 1 & 0 \\ k & 1 \end{bmatrix}$

Example (Standard Matrix for a Projection Operator)

- Let l be the line in the xy -plane that passes through the origin and makes an angle θ with the positive x -axis, where $0 \leq \theta \leq \pi$. Let $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ be a linear operator that maps each vector into orthogonal projection on l .
 - Find the standard matrix for T .
 - Find the orthogonal projection of the vector $\mathbf{x} = (1,5)$ onto the line through the origin that makes an angle of $\theta = \pi/6$ with the positive x -axis.



Example

- The standard matrix for T can be written as

$$[T] = [T(\mathbf{e}_1) \mid T(\mathbf{e}_2)]$$

- Consider the case $0 \leq \theta \leq \pi/2$.

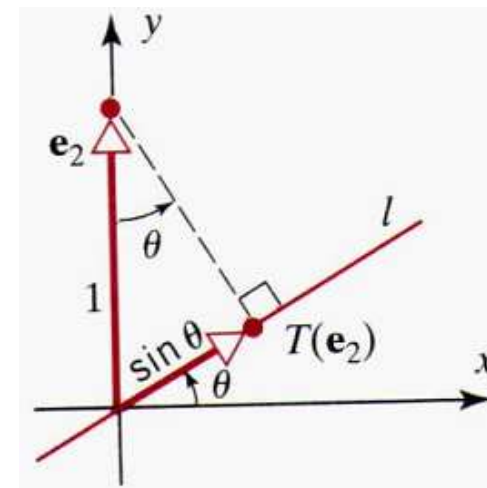
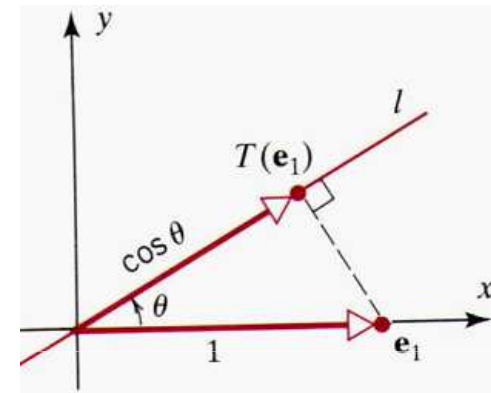
- $\|T(\mathbf{e}_1)\| = \cos \theta$

➔
$$T(\mathbf{e}_1) = \begin{bmatrix} \|T(\mathbf{e}_1)\| \cos \theta \\ \|T(\mathbf{e}_1)\| \sin \theta \end{bmatrix} = \begin{bmatrix} \cos^2 \theta \\ \sin \theta \cos \theta \end{bmatrix}$$

- $\|T(\mathbf{e}_2)\| = \sin \theta$

➔
$$T(\mathbf{e}_2) = \begin{bmatrix} \|T(\mathbf{e}_2)\| \cos \theta \\ \|T(\mathbf{e}_2)\| \sin \theta \end{bmatrix} = \begin{bmatrix} \sin \theta \cos \theta \\ \sin^2 \theta \end{bmatrix}$$

➔
$$[T] = \begin{bmatrix} \cos^2 \theta & \sin \theta \cos \theta \\ \sin \theta \cos \theta & \sin^2 \theta \end{bmatrix}$$



Example

$$[T] = \begin{bmatrix} \cos^2 \theta & \sin \theta \cos \theta \\ \sin \theta \cos \theta & \sin^2 \theta \end{bmatrix}$$

- Since $\sin(\pi/6) = 1/2$ and $\cos(\pi/6) = \sqrt{3}/2$, it follows from part (a) that the standard matrix for this projection operator is

$$[T] = \begin{bmatrix} 3/4 & \sqrt{3}/4 \\ \sqrt{3}/4 & 1/4 \end{bmatrix}$$

Thus,

$$T\left(\begin{bmatrix} 1 \\ 5 \end{bmatrix}\right) = \begin{bmatrix} 3/4 & \sqrt{3}/4 \\ \sqrt{3}/4 & 1/4 \end{bmatrix} \begin{bmatrix} 1 \\ 5 \end{bmatrix} = \begin{bmatrix} \frac{3+5\sqrt{3}}{4} \\ \frac{\sqrt{3}+5}{4} \end{bmatrix}$$

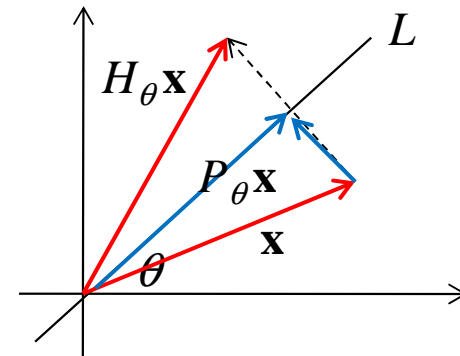
Reflections About Lines Through the Origin

- Let P_θ denote the standard matrix of orthogonal projections on lines through the origin

$$P_\theta \mathbf{x} - \mathbf{x} = (1/2)(H_\theta \mathbf{x} - \mathbf{x}), \text{ or equivalently } H_\theta \mathbf{x} = (2 P_\theta - I)\mathbf{x}$$

- $H_\theta = (2 P_\theta - I)$

$$H_\theta = \begin{bmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{bmatrix}$$



Lecture 17: 4.10

Properties of Matrix Transformations

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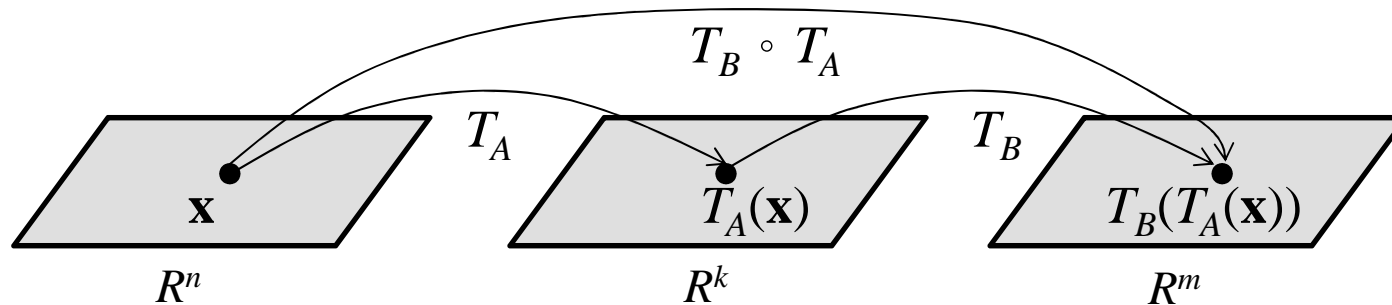
Composition of T_B with T_A

■ Definition

- If $T_A : R^n \rightarrow R^k$ and $T_B : R^k \rightarrow R^m$ are linear transformations, *the composition of T_B with T_A* , denoted by $T_B \circ T_A$ (read “ T_B circle T_A ”), is the function defined by the formula

$$(T_B \circ T_A)(\mathbf{x}) = T_B(T_A(\mathbf{x}))$$

where \mathbf{x} is a vector in R^n .



Composition of T_B with T_A

- This composition is itself a matrix transformation since

$$(T_B \circ T_A)(\mathbf{x}) = (T_B(T_A(\mathbf{x}))) = B(T_A(\mathbf{x})) = B(A\mathbf{x}) = (BA)\mathbf{x}$$

- It is multiplication by BA , i.e. $T_B \circ T_A = T_{BA}$
- The compositions can be defined for more than two linear transformations.
- For example, if $T_1 : U \rightarrow V$ and $T_2 : V \rightarrow W$, and $T_3 : W \rightarrow Y$ are linear transformations, then the composition $T_3 \circ T_2 \circ T_1$ is defined by $(T_3 \circ T_2 \circ T_1)(\mathbf{u}) = T_3(T_2(T_1(\mathbf{u})))$

Remark

- It is not true, in general, that $AB = BA$
- So it is not true, in general, that $T_B \circ T_A = T_A \circ T_B$

Example

- Let $T_1:R^2 \rightarrow R^2$ and $T_2:R^2 \rightarrow R^2$ be the matrix operators that rotate vectors through the angles θ_1 and θ_2 , respectively.
- The operation $(T_2 \circ T_1)(\mathbf{x})=T_2(T_1(\mathbf{x}))$ first rotates \mathbf{x} through the angle θ_1 , then rotates $T_1(\mathbf{x})$ through the angle θ_2 .

$$[T_1] = \begin{bmatrix} \cos \theta_1 & -\sin \theta_1 \\ \sin \theta_1 & \cos \theta_1 \end{bmatrix} \quad [T_2] = \begin{bmatrix} \cos \theta_2 & -\sin \theta_2 \\ \sin \theta_2 & \cos \theta_2 \end{bmatrix}$$

$$[T_2 \circ T_1] = \begin{bmatrix} \cos(\theta_1 + \theta_2) & -\sin(\theta_1 + \theta_2) \\ \sin(\theta_1 + \theta_2) & \cos(\theta_1 + \theta_2) \end{bmatrix}$$

$$\begin{aligned} [T_2][T_1] &= \begin{bmatrix} \cos \theta_2 & -\sin \theta_2 \\ \sin \theta_2 & \cos \theta_2 \end{bmatrix} \begin{bmatrix} \cos \theta_1 & -\sin \theta_1 \\ \sin \theta_1 & \cos \theta_1 \end{bmatrix} \\ &= \begin{bmatrix} \cos \theta_2 \cos \theta_1 - \sin \theta_2 \sin \theta_1 & -(\cos \theta_2 \sin \theta_1 + \sin \theta_2 \cos \theta_1) \\ \sin \theta_2 \cos \theta_1 + \cos \theta_2 \sin \theta_1 & -\sin \theta_2 \sin \theta_1 + \cos \theta_2 \cos \theta_1 \end{bmatrix} \\ &= \begin{bmatrix} \cos(\theta_1 + \theta_2) & -\sin(\theta_1 + \theta_2) \\ \sin(\theta_1 + \theta_2) & \cos(\theta_1 + \theta_2) \end{bmatrix} = [T_2] \circ [T_1] \end{aligned}$$

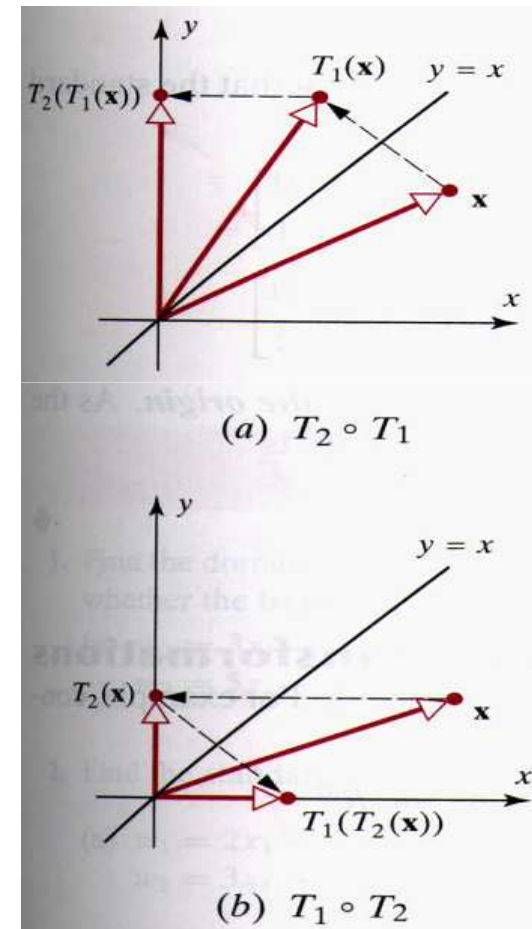
Composition is Not Commutative

- Let T_1 be the reflection operator
- Let T_2 be the orthogonal projection on the y -axis

$$[T_1 \circ T_2] = [T_1][T_2] = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

$$[T_2 \circ T_1] = [T_2][T_1] = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$

so $[T_1 \circ T_2] \neq [T_2 \circ T_1]$



Composition of Two Reflections

- Let T_1 be the reflection about the y -axis, and let T_2 be the reflection about the x -axis. In this case, $T_1 \circ T_2$ and $T_2 \circ T_1$ are the same.

$$(T_1 \circ T_2)(x, y) = T_1(x, -y) = (-x, -y)$$

$$(T_2 \circ T_1)(x, y) = T_2(-x, y) = (-x, -y)$$

$$[T_1 \circ T_2] = [T_1] [T_2] = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$[T_2 \circ T_1] = [T_2] [T_1] = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$$

One-to-One Linear transformations

- Definition

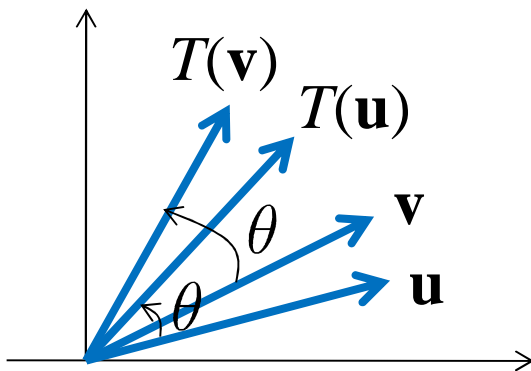
- A linear transformation $T : R^n \rightarrow R^m$ is said to be **one-to-one** if T maps distinct vectors (points) in R^n into distinct vectors (points) in R^m

- Remark:

- That is, for each vector \mathbf{w} in the range of a one-to-one linear transformation T , there is exactly one vector \mathbf{x} such that $T(\mathbf{x}) = \mathbf{w}$.

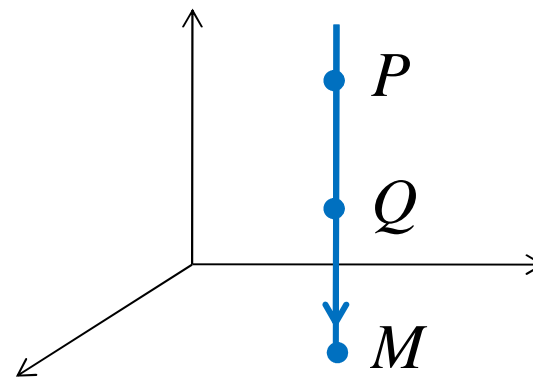
Example

One-to-one linear transformation



Distinct vectors \mathbf{u} and \mathbf{v} are rotated into distinct vectors $T(\mathbf{u})$ and $T(\mathbf{v})$.

Not one-to-one linear transformation



The distinct points P and Q are mapped into the same point M .