USE OF THE BOOTSTRAP TECHNIQUE WITH SMALL TRAINING SETS FOR COMPUTER-AIDED DIAGNOSIS IN BREAST ULTRASOUND

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Abstract—The purpose of this study was to test the efficacy of using small training sets in computer-aided diagnostic systems (CAD) and to increase the capabilities of ultrasound (US) technology in the differential diagnosis of solid breast tumors. A total of 263 sonographic images of solid breast nodules, including 129 malignancies and 134 benign nodules, were evaluated by using a bootstrap technique with 10 original training samples. Texture parameters of a region-of-interest (ROI) were resampled with a bootstrap technique and a decision-tree model was used to classify the tumor as benign or malignant. The accuracy was 87.07% (229 of 263 tumors), the sensitivity was 95.35% (123 of 129), the specificity was 79.10% (106 of 134), the positive predictive value was 81.46% (123 of 151), and the negative predictive value was 94.64% (106 of 112). This analysis method provides a second opinion for physicians with high accuracy. The new method shows a potential to be useful in future application of CAD, especially when a large database cannot be obtained for training or a newly developed ultrasonic system has smaller sets of samples. (E-mail: dlchen88@ms13.hinet.net) © 2002 World Federation for Ultrasound in Medicine & Biology.

Key Words: Ultrasound, Bootstrap, Decision-tree model.

INTRODUCTION

Ultrasound (US) and mammography have been widely accepted imaging modalities used for the classification of breast lesions. The goal of breast imaging is normally to detect and characterize breast masses and microcalcifications. The major goal of US breast imaging is normally to look at lesions. Mammography has proven to be the most sensitive technique for breast imaging; sonography has been used as an adjunct to mammography. Breast US has proven useful primarily for differentiating cysts from solid tumors and in the initial evaluation of symptomatic young or pregnant women (Stavros et al. 1995; Jackson et al. 1996; Bassett et al. 1997; Arger et al. 2001; Giger et al. 1999). However, the sonographic technique described by Stavros and colleagues required an extensive real-time evaluation by an experienced interpreter. Clinically, physicians sometimes did not feel comfortable basing decisions on sonographic characteristics, and thorough knowledge of clinical risk factors can lead to the categorization of patients into groups with relatively high rates of indeterminate or malignancy warranting biopsy. Subsequently, parenchyma deformity following excision biopsy may confound future mammograms and sonograms. To increase the physician’s diagnostic confidence is the way to decrease unnecessary biopsies. Previous authors have attempted to use sonography as an adjunct to mammography to increase the positive predictive value of breast biopsies, to the proposed goal of 41% to 51% set by Hall et al. (1988) and Ciatto et al. (1987). Raza and Baum (1997) indicated that sensitivity and negative predictive value could be achieved in 100% while maintaining the PPV at 50% by adding power Doppler in addition to grey-scale US findings.

Recent progress in computer-aided diagnosis (CADx) systems demonstrated that the application of a CADx system could increase the diagnostic confidence for a physician and provide one possible solution to improve the PPV of breast biopsy. In our previous studies (Chen et al. 1999, 2000a, 2000b), we showed that a CAD system can enhance the diagnostic performance of
radiologists, if it is used as a second opinion. However, the main disadvantage of these methodologies is that a large number of samples would have to be collected to construct the models or rules adopted for the CADx system in advance. In this study, we propose a novel diagnosis system with a bootstrap technique that requires only very few original samples to construct the diagnosis model. It is of great use, especially for the situation when a database has few historical cases, or initially for a new US system while collecting the historical cases.

For most currently available US machines, all that would be required for the CAD system is a personal computer with the proposed CAD software. However, it is a challenge to automatically segment a tumor from a digitized image by using a computer. In this work, a physician first extracted a region-of-interest (ROI), and then the computer analyzed the subimage, using intensity variation and texture information. In regard to ROI selection for texture analysis, making a rectangular shape outside the tumor is the easier way for computer applications. Although the previous study (Chen et al. 2000a) showed that combining multiple views in ROI selection may provide better results than using a single view, this is time-consuming.

Image texture analysis plays an important role in CADx systems. The co-occurrence matrix is a popular second order statistical feature used to represent texture information (Roux et al. 1997; Valckx et al. 1997). Although it characterizes the spatial interrelationship in a texture pattern, the evaluation cost of a co-occurrence matrix is high. Thus, statistical parameter matrices defined in the Materials and Methods section, including contrast, covariance and dissimilarity partitioning texture properties were used instead of a co-occurrence matrix.

Most techniques assume that the size of the available set of sample values is sufficiently large, so that “asymptotic” results can be applied. However, this assumption cannot be made, either because of time constraints or because the process is nonstationary and only small portions of stationary data are considered in most cases. The bootstrap method was introduced by Efron (1979a, 1979b, 1981, 1982) (Efron and Tibshirani 1993) as an approach to calculate confidence intervals for parameters in circumstances where standard methods cannot be applied. The bootstrap method has subsequently been used to solve many other problems that would be too complicated for traditional statistical analysis. The bootstrap method is an extremely attractive tool, in that it requires very little in the way of modeling, assumptions or analysis, and it can be applied in an automatic way.

In this study, we propose a novel diagnosis system with the bootstrap technique, in which inter-pixel correlation on the US images was used to differentiate benign and malignant tumors. Only very few original samples are needed to resample the data for constructing a diagnosis model.

MATERIALS AND METHODS

Data acquisition

The ultrasonic image database contained 263 sonographic images of pathologically proven (either by fine needle cytology, core-needle biopsy or open biopsy) benign breast tumors from 134 patients and carcinomas from 129 patients (tumor size > 0.8 cm in all cases). The database contained only one image from each patient. The ultrasonic images were captured at the largest diameter of the tumor. The images were collected from June 1, 1999 to May 31, 2000; the patients’ ages ranged from 18 to 64 years. Sonography was performed using an HDI 3000 system and an L10-5 38-mm linear transducer with freeze-frame capability. Dynamic range and mapping were set at 55 dB and 6 separately. No acoustic stand-off pad was used in any of the cases. The sonographic gain setting remained unchanged throughout the entire period of study, except for small changes made to obtain a better view in a few cases.

The monochrome ultrasonic image was quantized into 8 bits (i.e., 256 grey levels), and was stored on the magneto-optical disks in the US scan machine. The entire databases were supplied by W. K. Moon. Data were collected consecutively and all cases were used for analysis without selection. The subimage of an ROI was manually selected using the ProImage package by a breast surgeon who was familiar with breast US interpretations and unfamiliar with the tissue diagnosis and cell type before ROI selections. The ROI was made by extending beyond the lesion margins by 1 to 2 mm in all directions (shown in Fig. 1); it was then saved as a file for later analysis. Figure 1 illustrates a digital image of...
an ultrasonic monochrome malignant tumor of 155 × 90 pixels in a rectangle 1.65 cm × 0.96 cm. ROI images were used in our breast image database to further investigate the texture characteristics of benign and malignant tumors.

Image analysis

Currently, the co-occurrence matrices of the image have been used as features for representing the texture information. A co-occurrence matrix \( P_{\phi,d}(i,j) \) is a matrix in which the \((i,j)\)th element represents the frequency of occurrence of two pixels, with grey levels \(i\) and \(j\), which are separated by distance \(d\) in the direction \(\phi\). The texture variations in a region can be captured by the co-occurrence matrix with a different direction \(\phi\) and distance \(d\).

In general, several co-occurrence matrices with different \(\phi\) and \(d\) are required to catch the texture information. The size of the matrix depends on the number of grey levels in an image; therefore, it would be prohibitively expensive to evaluate a matrix for each pixel in a general 8-bit image (i.e., 256 × 256 elements in a co-occurrence matrix. Hence, the statistical parameter matrices (contrast, covariance, dissimilarity) (Layer et al. 1991) with the same texture reservation properties defined in the next section were used in this study instead of the co-occurrence matrix.

We used the statistical method including contrast, covariance and dissimilarity that can directly evaluate the texture parameters for pixels with several distances. Its main advantage is that the evaluation cost depends only on the image size and not on the number of grey levels. Moreover, the statistical method can also extract visually perceptible physical parameters, such as contrast, granularity, regularity, periodicity, fineness or coarseness of the texture. Let \(S\) denote a region of an image, \(g(i,j)\) denote the intensity value at the position \((i,j)\) of \(S\), \(\delta = (\Delta i, \Delta j)\) denote the distance between two pixels, and \(\eta\) denote the grey level average of the region \(S\). For a value of \(\delta\), the contrast, covariance and dissimilarity parameters are calculated as follows.

Contrast:

\[
\text{Con}(\delta) = E\{g(i,j) \cdot g(i+\Delta i, j + \Delta j)\} \quad (1)
\]

Covariance:

\[
\text{Cov}(\delta) = E\{[g(i,j) - \eta] \cdot [g(i+\Delta i, j + \Delta j) - \eta]\} \quad (2)
\]

Dissimilarity:

\[
\text{Diss}(\delta) = E\{g(i,j) - g(i+\Delta i, j + \Delta j)\} \quad (3)
\]

In this study, texture correlation between neighboring pixels within the US images was used to classify the tumors. Table 1 shows the performance of three texture parameters. We found that the covariance parameter performed better than the other two texture parameters. Thus, the covariance parameter was adopted as our texture feature.

Note that several covariance parameters with different \(\Delta i\) and \(\Delta j\) are needed to represent the texture information. These several covariance parameters can be represented in a \(\Delta m \times \Delta n\) matrix form to record the properties between pixel \((x, y)\) and pixel \((x + \Delta i, y + \Delta j)\), where \(\Delta i = 1, \ldots, \Delta m\) and \(\Delta j = 1, \ldots, \Delta n\).

Bootstrap technique

In many signal-processing applications, one is interested in forming estimates of a certain number of unknown parameters of a random process, using a set of sample values. Further, one is interested in finding the sampling distribution of the estimators so that the respective means, variances and cumulants can be evaluated, or in making some kind of probability statements with respect to the unknown true values of the parameters.

A bootstrap sample is obtained by sampling with replacement from the empirical distribution function for the training set. Each sample is independently drawn from the \(n\) training vectors with each vector having a probability \(1/n\) of benign drawn on any trail. With the bootstrap method, the observations are randomly realigned and recomputed. The assignments and recomputations are treated as repeated experiments.

Bootstrapping is similar to other resampling schemes, such as cross-validation and jackknifing. There could be many possible ways to generate bootstrap samples. We now consider the bootstrap techniques of generating a bootstrap set used in this study.

Let \(X_N = \{x_1, x_2, \ldots, x_N\}\) be an original training set. We now consider the bootstrap technique of generating a bootstrap set \(X_N = \{x^*_1, x^*_2, \ldots, x^*_N\}\) of size \(N\). The bootstrap steps are as follows:

1. Select a sample \(x_{b_0}\) from \(X_N\) randomly.
2. Find the \(r\) nearest neighbor samples \(x_{b_1}, x_{b_2}, \ldots, x_{b_r}\) of \(x_{b_0}\) using the Euclidean distance. If the Euclidean distance between \(x_{b_0}\) and the selected sample is larger than a threshold, the sample is not used for evaluating the bootstrap sample.

| Table 1. The performance for different texture parameters, contrast, covariance and dissimilarity |
|---|---|---|---|
| Item | Contrast | Covariance | Dissimilarity |
| Accuracy (%) | 86.94 | 88.89 | 54.86 |
3. Compute the bootstrap sample:

$$x_i^b = \frac{1}{r + 1} \sum_{j=0}^{r} x_i^j. \quad (4)$$

4. Repeat steps 1, 2 and 3 $N$ times.

In this bootstrap technique, bootstrap samples are generated by locally combining original training samples.

**Data mining with decision-tree model**

Recently, data mining has become widely recognized as a critical field and a popular technology for many applications in research. It attempts to nontrivially extract implicit, previously unknown, and potentially valuable information from vast amounts of data with low value in their raw form. Data mining can extract the useful hidden information from the voluminous detailed data for many areas, such as decision support, prediction, forecasting and estimation. In fact, data mining consists of several different technical approaches, such as clustering, data summarization, learning classification rules, finding dependency networks, analyzing changes and detecting anomalies, and so on (Shankar et al. 1993; Chen et al. 1996; Mulligh et al. 1997; Anand et al. 1997; Cios et al. 1998).

The greatest chance of success in data mining comes from combining the expert's knowledge with the advanced analysis techniques that can be implemented by the computer to identify the underlying relationships and valuable features in the data. Data mining can generate models from historical data, and these models can be used for later prediction. It is possible to develop accurate prediction applications using a suitable learning method. The techniques for building these models are often referred to as machine learning or modeling. There are many machine learning and modeling technologies, such as rule induction, neural networks, association rule discovery, clustering, and so on (Weiss and Kapouleas 1989).

Decision-tree models are usually used in data mining to examine the data and induce the tree and its rules that will be used to make predictions. Decision trees are a way of representing a series of rules that lead to a class or value. In the decision tree is contained the decision node, branches and leaves. Depending on the algorithm, each node may have two or more branches. Each branch will lead either to another decision node or to the bottom of the tree, called a leaf node. Decision trees are grown through an iterative splitting of data into discrete groups to maximize the distance between groups at each split. Decision trees used to predict categorical variables are called classification trees because they place instances into categories or classes. Decision trees make few passes through the data, no more than one pass for each level of the tree, and they work well with many predictor variables. As a consequence, models can be built very quickly, making decision trees suitable for large sets.

Decision trees are most commonly used for classification to predict what group a case belongs to. Consequently, the decision tree has become a very popular data-mining technique in many current applications. In this study, the decision-tree model is used for mining the information we need for diagnosis.

A number of specific algorithms for the decision-tree model such as chi-squared automatic interaction detection (CHAID), classification and regression trees (CART), C4.5 (Quinlan 1992) and C5.0, an improved commercial version of C4.5 (RuleQuest Research Pty Ltd, NSW, St. Ives, Australia) are proposed. The algorithm C4.5, which is originally proposed by J. Quinlan, is one of the most popular inductive learning algorithms, and constructs the classification rules as a decision tree by some features. The speed and quality of rule generation of the C5.0 algorithm are improved over its predecessor C4.5. In this study, the C5.0 algorithm and the covariance texture parameter are adopted to construct the decision-tree model and for further diagnosis.

### RESULTS

Accuracy, sensitivity, specificity, positive predictive value and negative predictive value are the five most generally used objective indices to estimate the performance of diagnosis results. In our experiment, accuracy of using the bootstrap technique for classifying malignancies with 10 original samples was 87.07%, the sensitivity was 95.35%, the specificity was 79.10%, the positive predictive value was 81.46% and negative predictive value was 94.64% for the proposed CADx system. Table 2 lists the classification results of breast nodules by the proposed bootstrap scheme with 10 original samples and without bootstrap. A summary of the

<table>
<thead>
<tr>
<th>Sonographic classification</th>
<th>Bootstrap with 10 samples</th>
<th>Original 10 samples without bootstrap</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benign*</td>
<td>Benign*</td>
<td>Benign*</td>
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<tr>
<td>Malignant*</td>
<td>Malignant*</td>
<td>Malignant*</td>
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<tr>
<td>TN 106</td>
<td>FN 6</td>
<td>TN 118</td>
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<td>TP 123</td>
<td>FP 28</td>
<td>TP 16</td>
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TP = True-positive; TN = True-negative; FP = False-positive; FN = False-negative; *Histological finding.
proposed diagnostic system, including the accuracy, sensitivity, specificity, positive predictive value and the negative predictive value is given in Table 3. Table 4 shows a summary of performance for the proposed bootstrap method with different numbers of original samples and without the bootstrap.

**DISCUSSION**

Studies have indicated that approximately half of undetected cancers are missed due to observational oversights by radiologists (Bird et al. 1992; Harvey et al. 1993; Schmidt et al. 1994). Although longer viewing times or double readings may improve radiologists’ performances, these tactics are time-consuming. The introduction of computer-aided detection for mammography enhances performance and improves confidence by drawing the radiologist’s attention to suspicious microcalcifications and tumors in film screening (Freer and Ulissey 2001; Jiang et al. 2001) (e.g., Image Checker M1000; R2 Technology, Los Altos, CA). It also increases consistency as it reduces variability due to workload, fatigue or distraction.

The role of computer-aided diagnosis (CAD) for sonography was not the same as for mammography; it was used to provide the second opinion for the interpretation of a sonographically existing tumor and also improve diagnostic confidence. “Mammography gets all the publicity, whereas breast ultrasound does its job quietly behind the scenes. If you are still using ultrasonography only for the distinction between ‘cystic’ and ‘solid’ lesions of breast, you are missing the boat,” said Raymond (2000). In daily clinical practice, the current real-time US is more convenient than mammography in the evaluation of a breast mass. Owing to the heterogeneous nature of a breast cancer, there is considerable overlap in the sonographic characteristics between malignant and benign lesions. This is the main reason for the controversy in its utility of classifying breast tumors.

CAD can be based on medically applicable research in the areas of artificial intelligence, physiologically motivated image processing, genetic algorithms, pattern recognition and neural networks. CAD is usually created by an extensive database of normal and biopsy-proven cancer cases and utilizes breakthrough technology in the areas of ROI and distributed artificial intelligence. Based on this study, diagnostic performance of the proposed diagnostic system with a bootstrap technique can achieve the results of larger database.

However, using a small sample set, for example \( n = 10 \) in this study, had its limitations. The training samples need to include variable cases of benign and malignant tumors to generate a good training set for classification. Despite that, it is of great use in the future applications of CAD, especially when a large database was not obtained for training. It is more cost-effective than traditional steps for constructing diagnosis models when a new ultrasonic system is used to collect the sample images at the beginning for the CAD because of fewer training cases needed. In addition, from the results of this study, we found that the diagnostic performance was improved by using the bootstrap technique in Table 3, although it is not so impressive. However, when the sample number was increased from 10 to 50 in Table 4, the diagnostic accuracy was much improved with the bootstrap technique (87.07% to 95.81%) than without it (87.07% to 88.97%).

Many researchers (Garra et al. 1993; Goldberg et al. 1992; McPherson et al. 1986; Petrick et al. 1996; Valckx and Thijssen 1997; Vittitoe et al. 1997) over the last 20 years had demonstrated that image texture analysis plays an important role in computer-aided diagnosis systems. It would seem advisable to use statistical measurements to characterize those texture signals that are insufficiently described by most other approaches. Hence, this study was based on the texture features. Of course, this bootstrap method can also be applied to other features that can distinguish the tumors.

Even with all the progress in the past decade, CAD is still a long way from fulfilling our vision. CAD analysis has faced skepticism and numerous criticisms in the past. Its practical utility is controversial. Regardless,
CAD is undergoing great development and utilization within the field of medical imaging by showing its potential in many clinical areas (Tourassi 1999).

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REFERENCES


