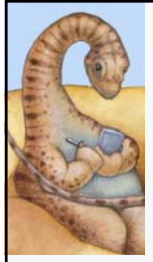
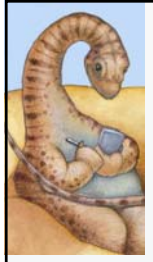
Chapter 11: Implementing File Systems



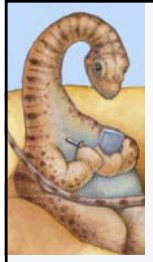
Chapter 11: File System Implementation

- File-System Structure
- File-System Implementation
- Directory Implementation
- Allocation Methods
- Free-Space Management
- Efficiency and Performance
- Recovery
- Log-Structured File Systems
- NFS
- Example: WAFL File System



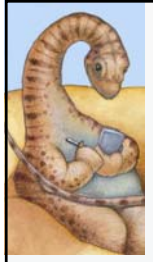
Objectives

- To describe the details of implementing local file systems and directory structures
- To describe the implementation of remote file systems
- To discuss block allocation and free-block algorithms and trade-offs

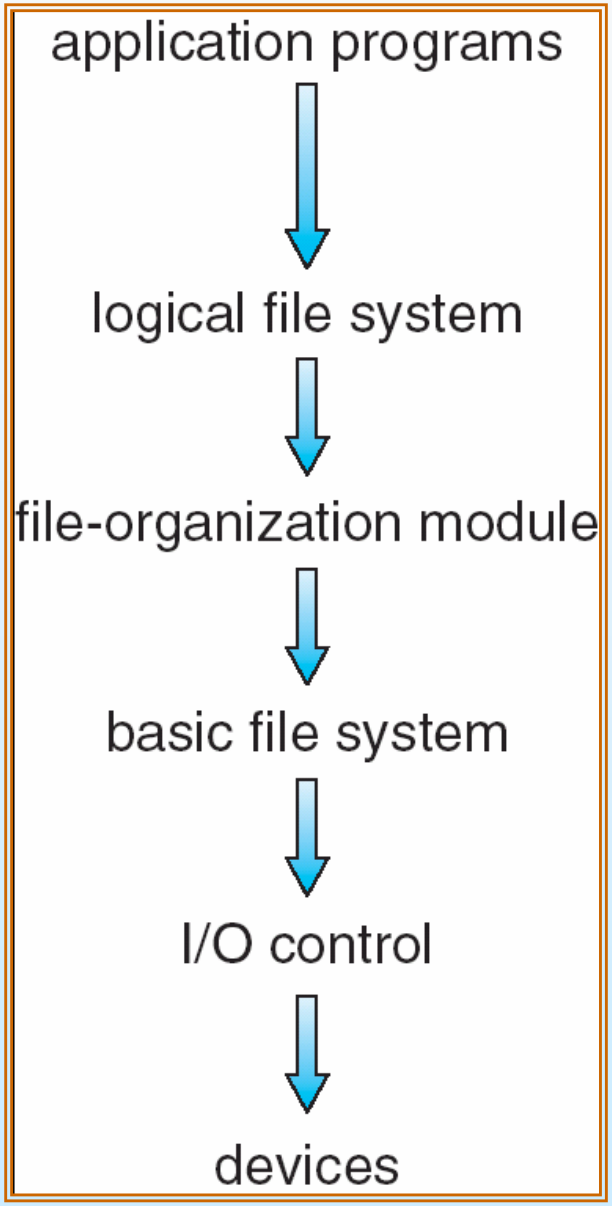


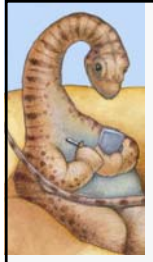
File-System Structure

- File structure
  - Logical storage unit
  - Collection of related information
- File system resides on secondary storage (disks)
- File system organized into layers
- **File control block** – storage structure consisting of information about a file



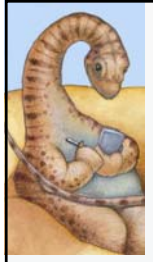
Layered File System





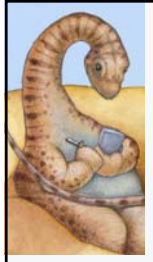
File System Structures

- Boot control block (per volume) – boot block
  - Contain information needed for booting OS from that volume
- Volume control block (per volume) – superblock
  - # of blocks in the volume, size of blocks, free block count and pointers, free FCB count, FCB pointers
- Directory structure per file system
  - To organize the files
  - Includes file names and associated **inode** numbers
- FCB per file - **inode**
  - File permissions, ownerships, size, and location of the data block



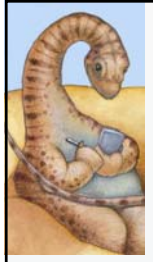
In-Memory Information for File System

- In-memory mount table
  - Information about each mounted volume
- In-memory directory-structure cache
  - Information of recently accessed directories
- System-wide open-file table
  - A copy of the FCB of each open file
- Per-process open-file table
  - Pointers to the appropriate entry in the system-wide open-file table



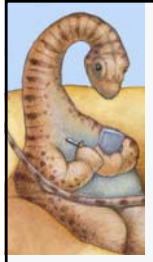
A Typical File Control Block

file permissions
file dates (create, access, write)
file owner, group, ACL
file size
file data blocks or pointers to file data blocks

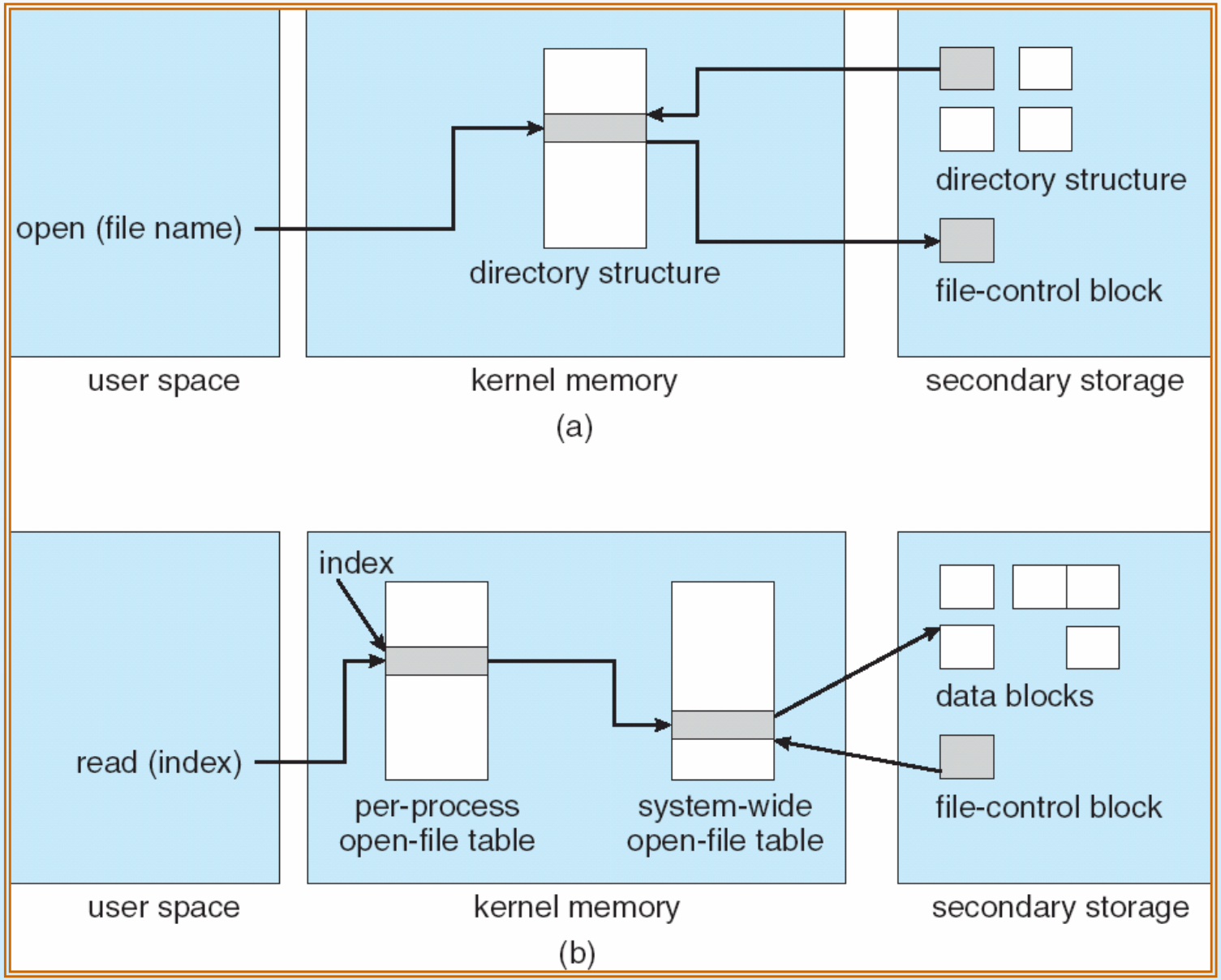


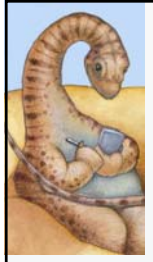
In-Memory File System Structures

- The following figure illustrates the necessary file system structures provided by the operating systems.
- Figure 11-3(a) refers to opening a file.
- Figure 11-3(b) refers to reading a file.



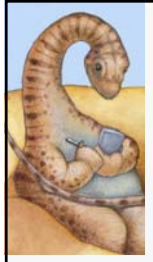
In-Memory File System Structures



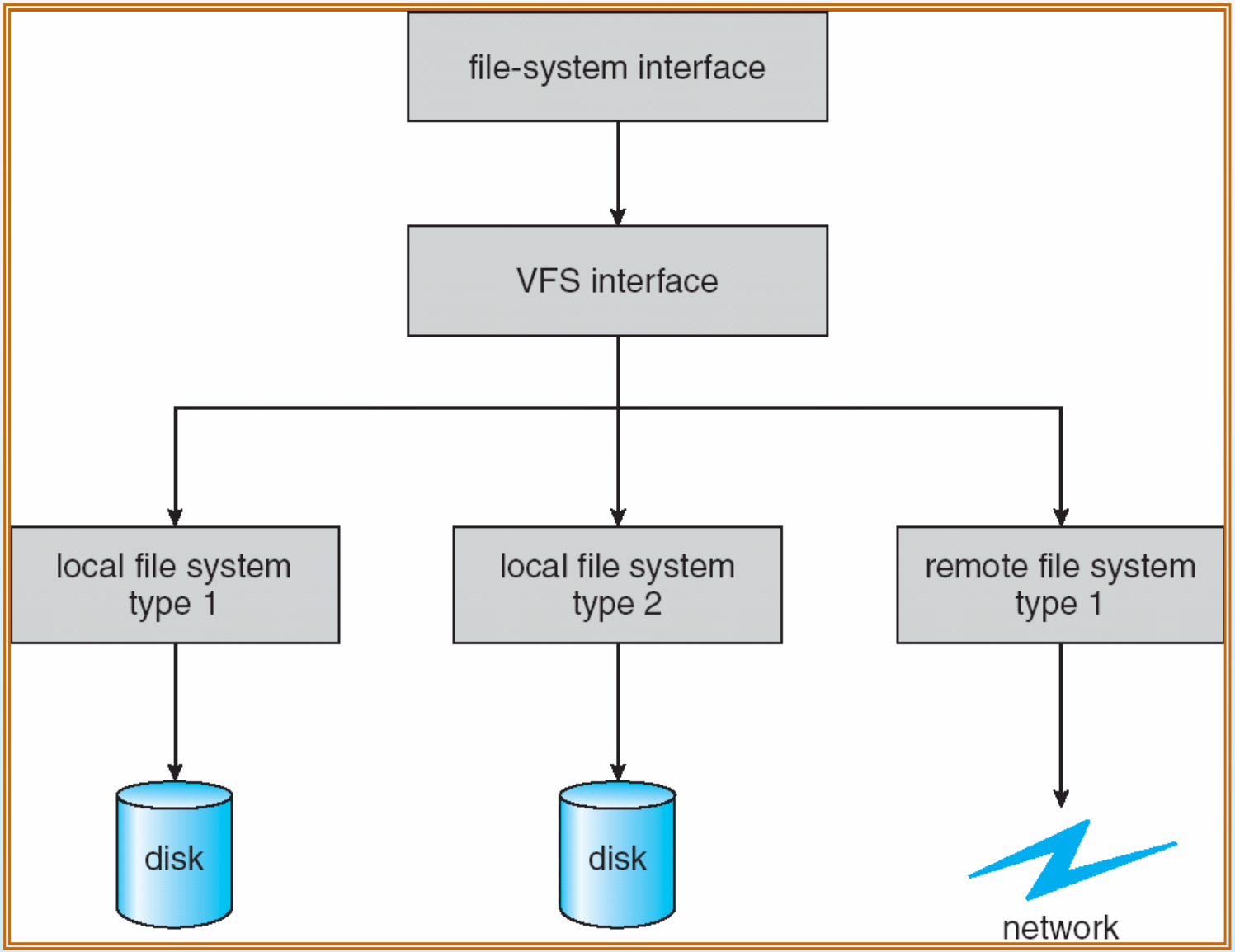


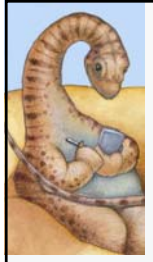
Virtual File Systems

- Virtual File Systems (VFS) provide an object-oriented way of implementing file systems.
- VFS allows the same system call interface (the API) to be used for different types of file systems.
- The API is to the VFS interface, rather than any specific type of file system.



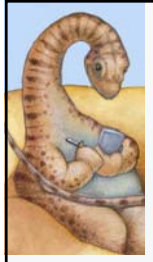
Schematic View of Virtual File System





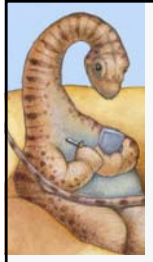
Directory Implementation

- **Linear list** of file names with pointer to the data blocks.
  - simple to program
  - time-consuming to execute
- **Hash Table** – linear list with hash data structure.
  - decreases directory search time
  - **collisions** – situations where two file names hash to the same location
  - fixed size



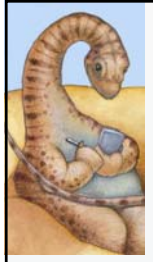
Allocation Methods

- An allocation method refers to how disk blocks are allocated for files:
- **Contiguous allocation**
- **Linked allocation**
- **Indexed allocation**

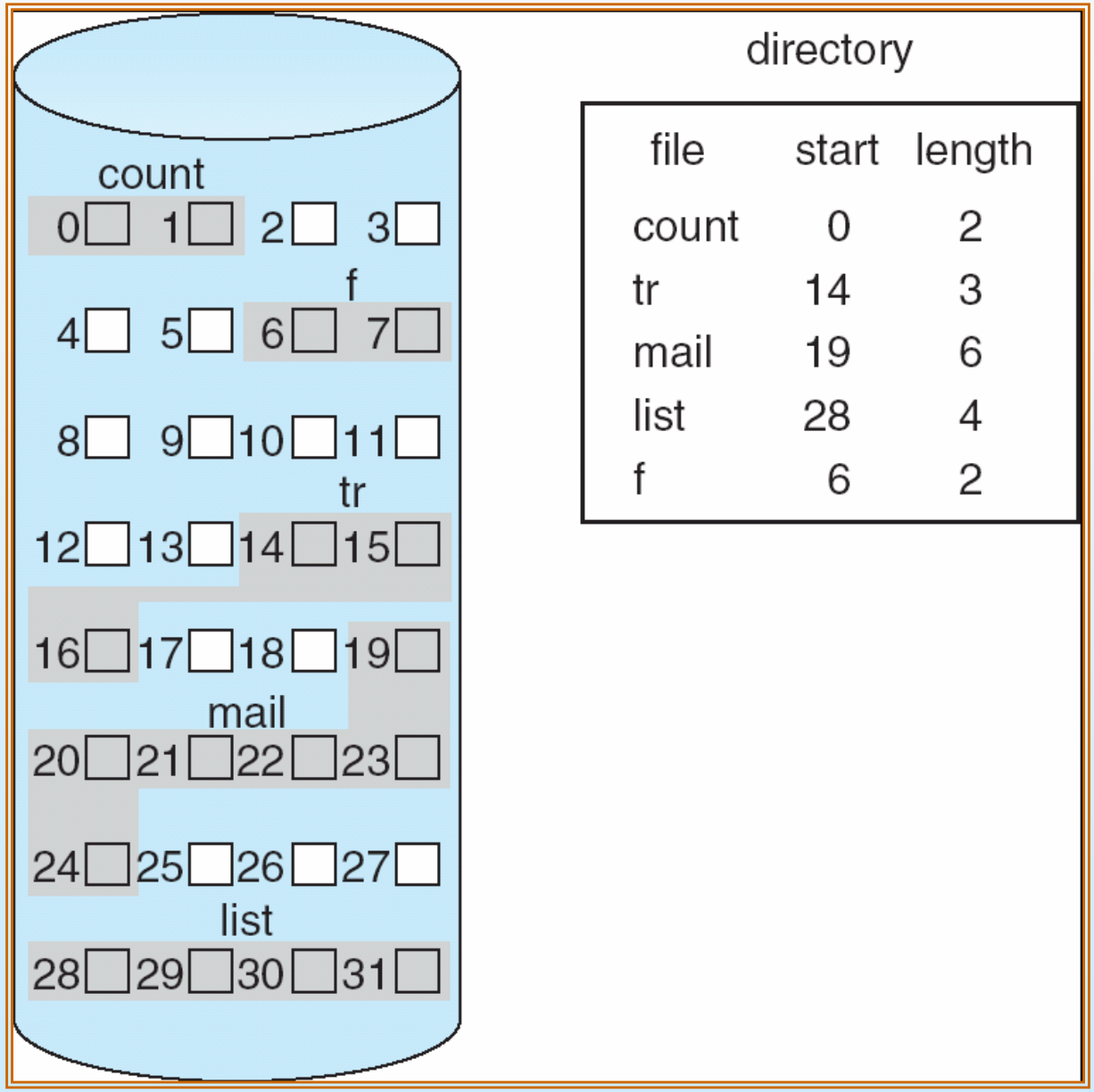


Contiguous Allocation

- Each file occupies a set of contiguous blocks on the disk
- Simple – only starting location (block #) and length (number of blocks) are required
- Random access
- Wasteful of space (dynamic storage-allocation problem)
- Files cannot grow

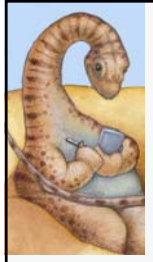


Contiguous Allocation of Disk Space



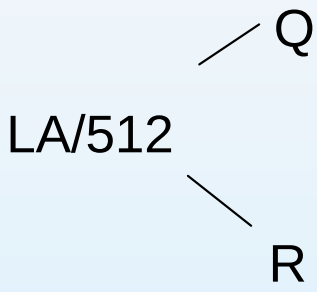
directory

file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2

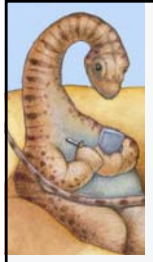


Contiguous Allocation

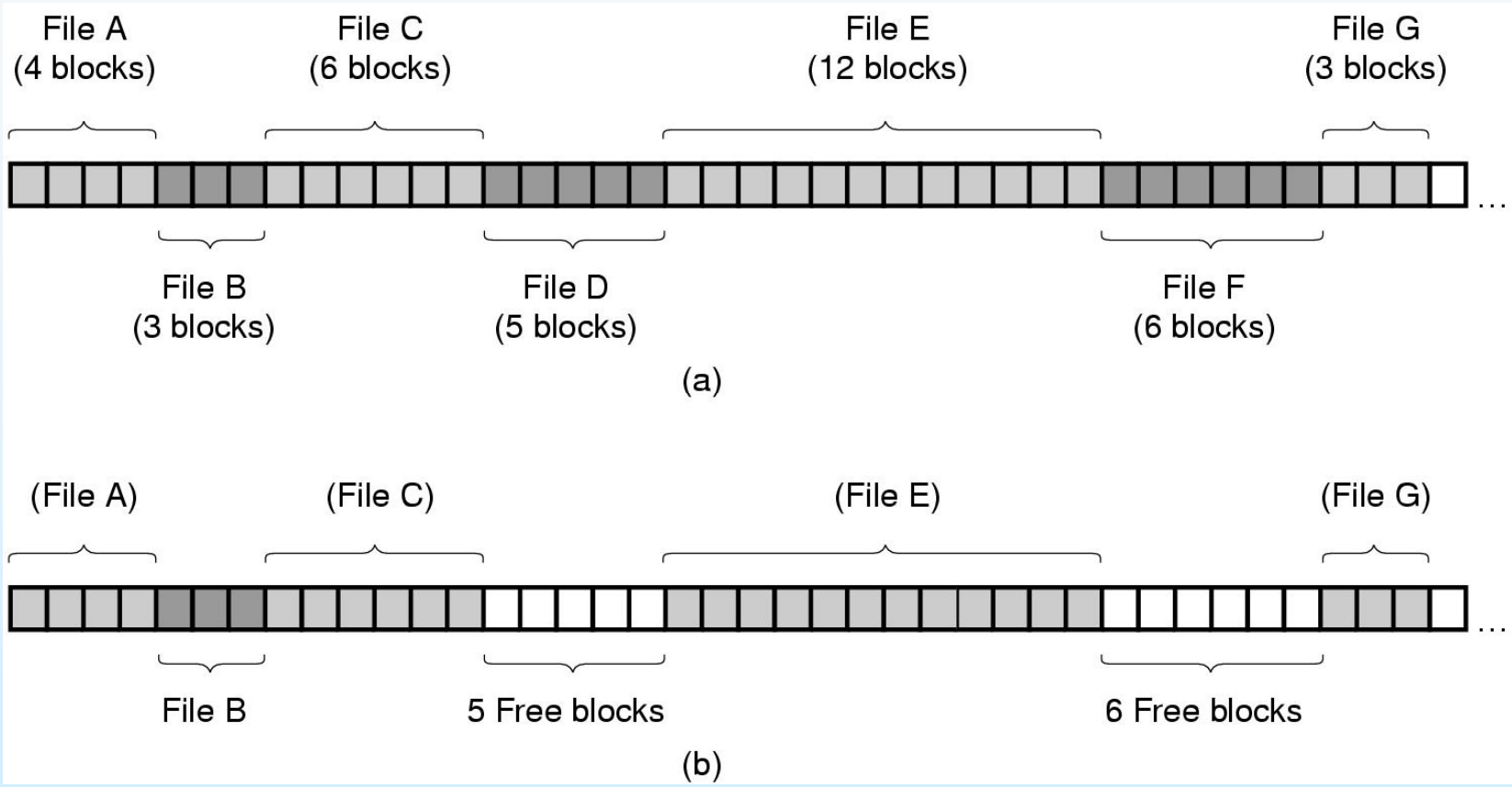
- Mapping from logical (LA) to physical



Block to be accessed = Q + starting address
Displacement into block = R

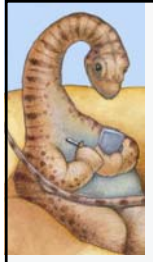


Contiguous Allocation



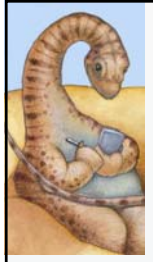
(a) Contiguous allocation of disk space for 7 files

(b) State of the disk after files *D* and *E* have been removed



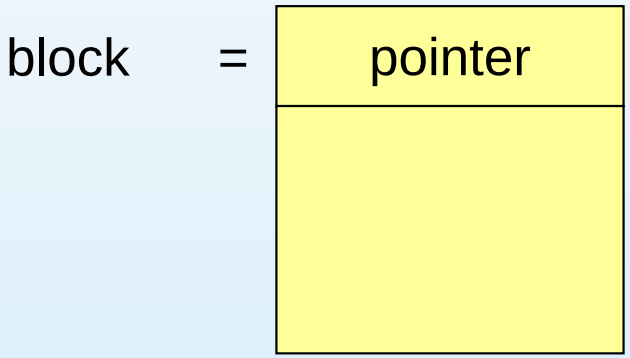
Extent-Based Systems

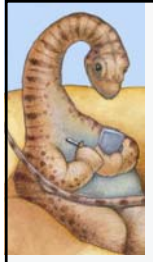
- Many newer file systems (I.e. Veritas File System) use a modified contiguous allocation scheme
- Extent-based file systems allocate disk blocks in **extents**
- An **extent** is a contiguous block of disks
  - Extents are allocated for file allocation
  - A file consists of one or more extents.



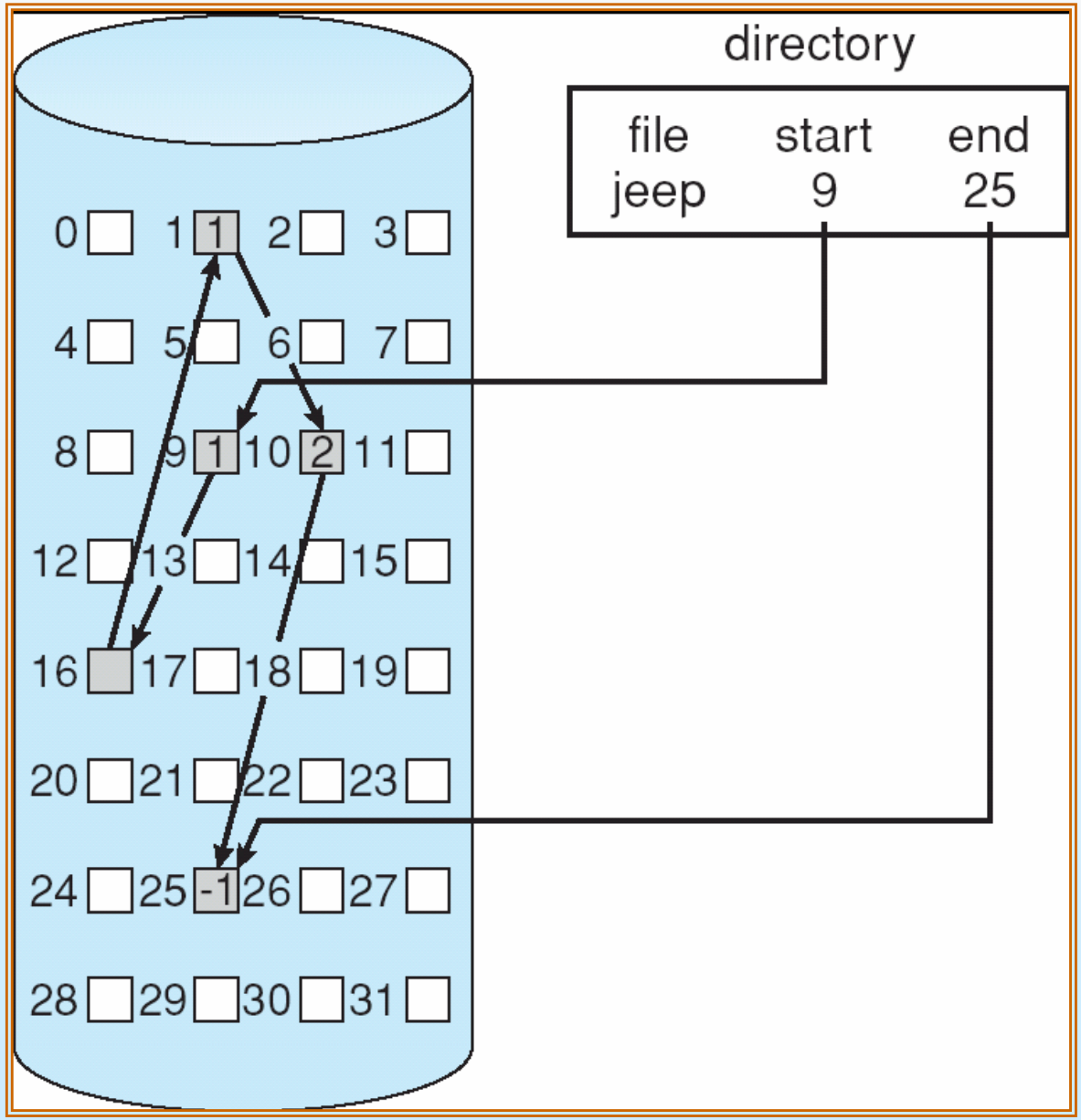
Linked Allocation

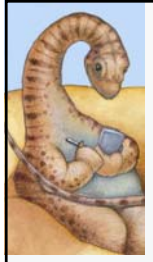
- Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk.





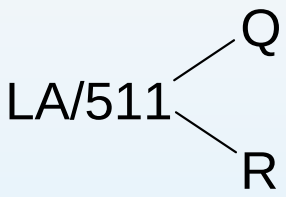
Linked Allocation





Linked Allocation (Cont.)

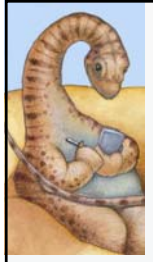
- Mapping



Block to be accessed is the Qth block in the linked chain of blocks representing the file.

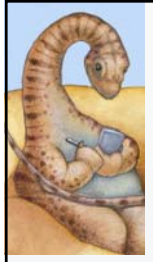
1 for pointer

Displacement into block = R + 1

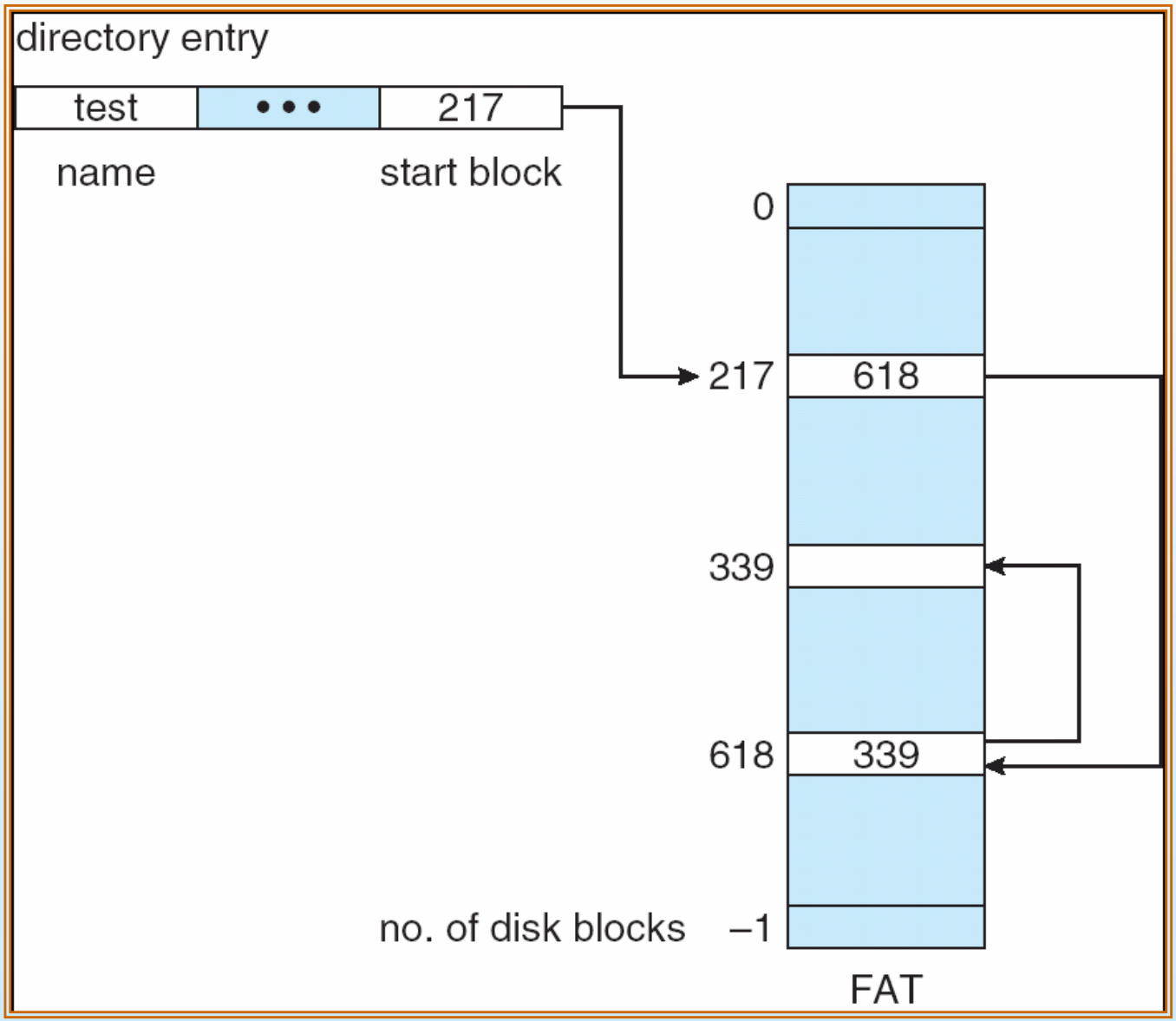


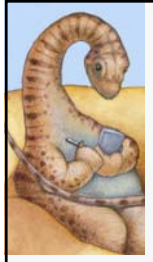
Linked Allocation (Cont.)

- Advantages
  - Simple – need only starting address
  - Free-space management system – no waste of space
- Disadvantages
  - No random access
  - Overhead – pointer
  - Reliability
    - Lost or damage pointers
- File-allocation table (FAT) – disk-space allocation used by MS-DOS and OS/2.
  - A section of disk is set aside to contain the table
  - Store all information about pointer
  - Search only the table for block information instead of potentially whole disk – better random access performance



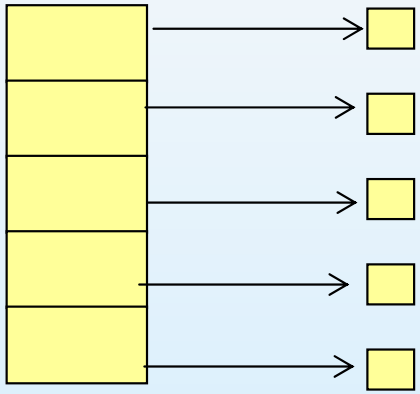
File-Allocation Table



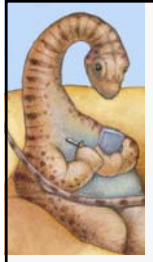


Indexed Allocation

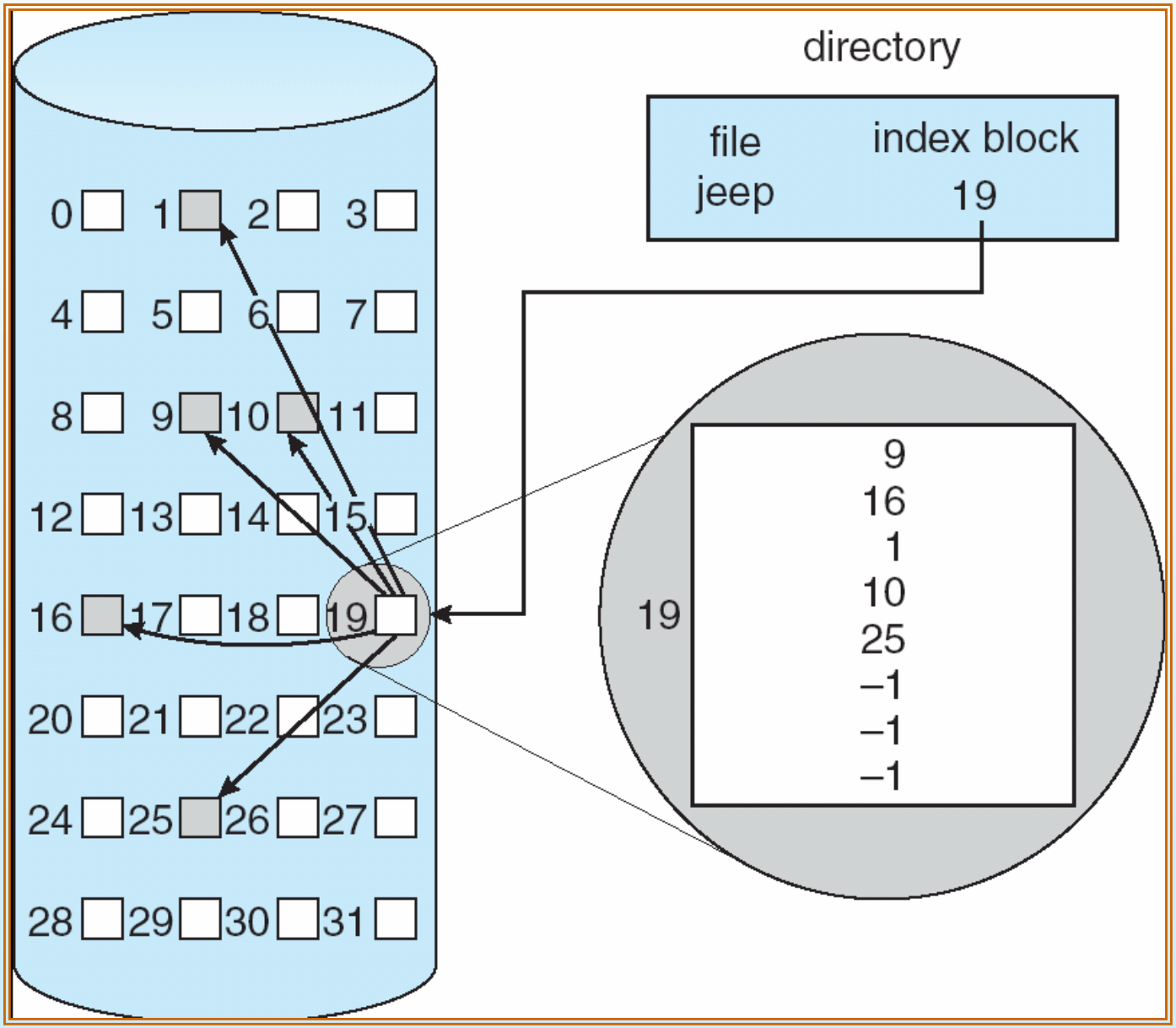
- Brings all pointers together into the *index block* or *i-node.*
- Logical view.

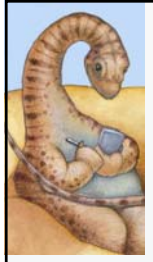


index table



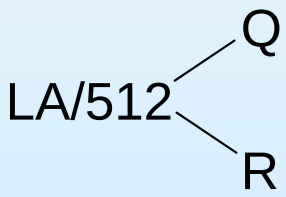
Example of Indexed Allocation



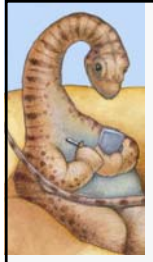


Indexed Allocation (Cont.)

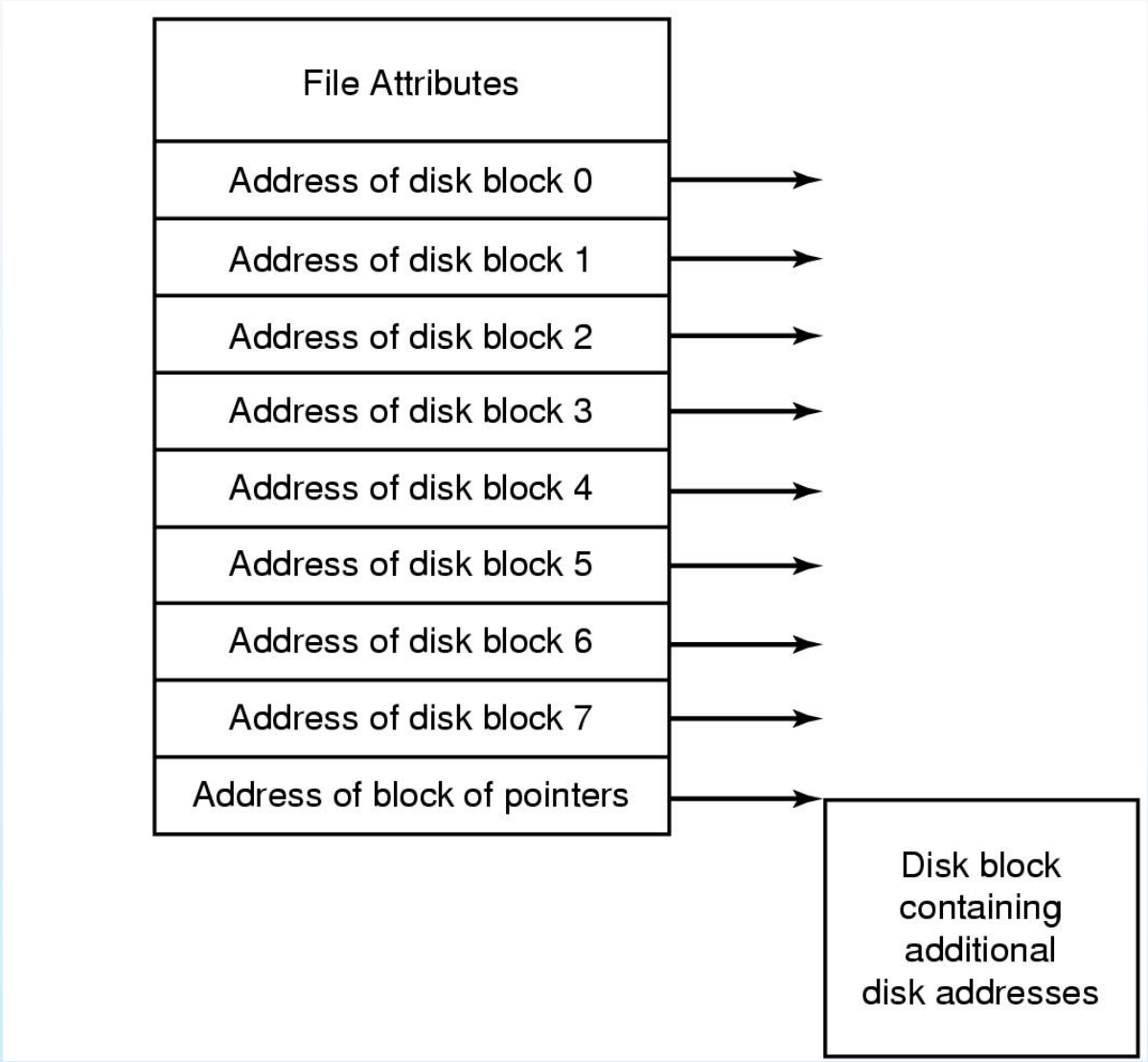
- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block.
- Mapping from logical to physical in a file of maximum size of 256K (= 512 blocks $\times$ 512 words) words and block size of 512 words. We need only 1 block for index table.



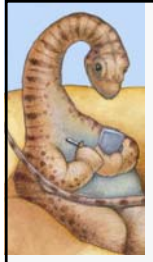
Q = displacement into index table
R = displacement into block



Indexed Allocation – Mapping



An example i-node



Indexed Allocation – Mapping (Cont.)

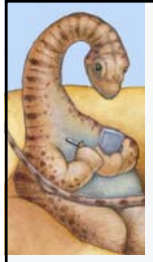
- Mapping from logical to physical in a file of unbounded length (block size of 512 words).
- Linked scheme – Link blocks of index table (no limit on size).

$$LA / (512 \times 511) \begin{cases} Q_1 \\ R_1 \end{cases}$$

Q_1 = block of index table
R_1 is used as follows:

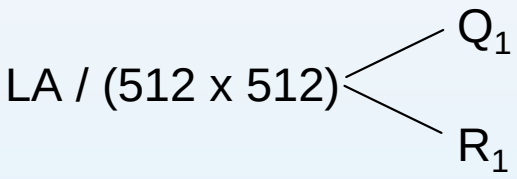
$$R_1 / 512 \begin{cases} Q_2 \\ R_2 \end{cases}$$

Q_2 = displacement into block of index table
R_2 displacement into block of file:

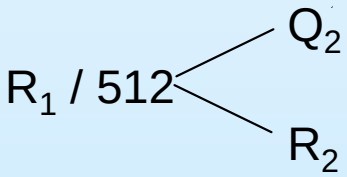


Indexed Allocation – Mapping (Cont.)

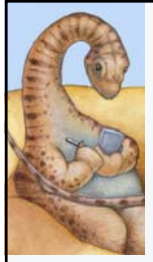
- Two-level index (maximum file size is 512^3)



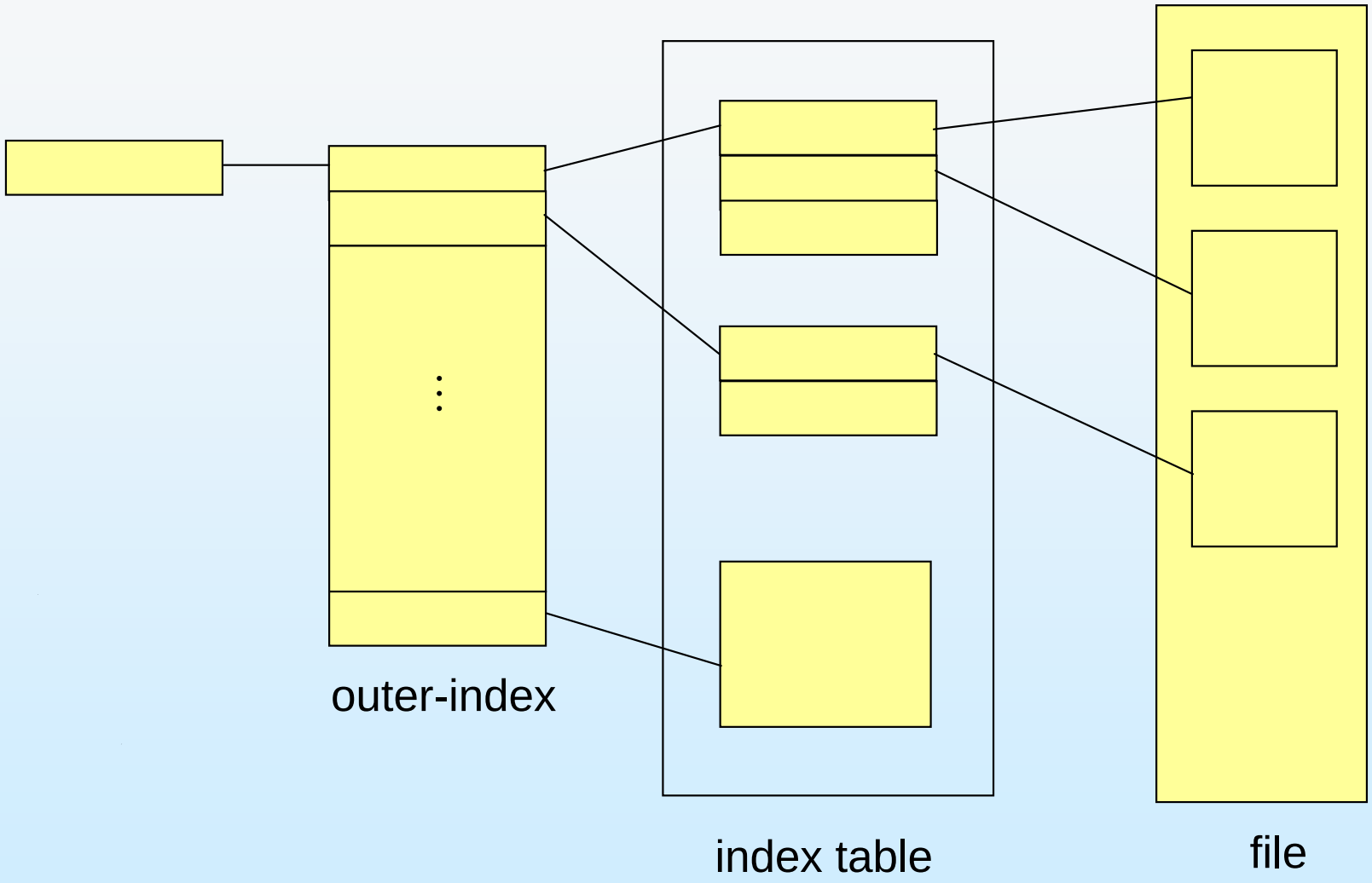
Q_1 = displacement into outer-index
R_1 is used as follows:

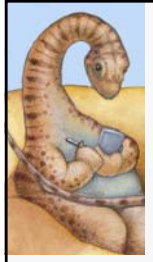


Q_2 = displacement into block of index table
R_2 displacement into block of file:

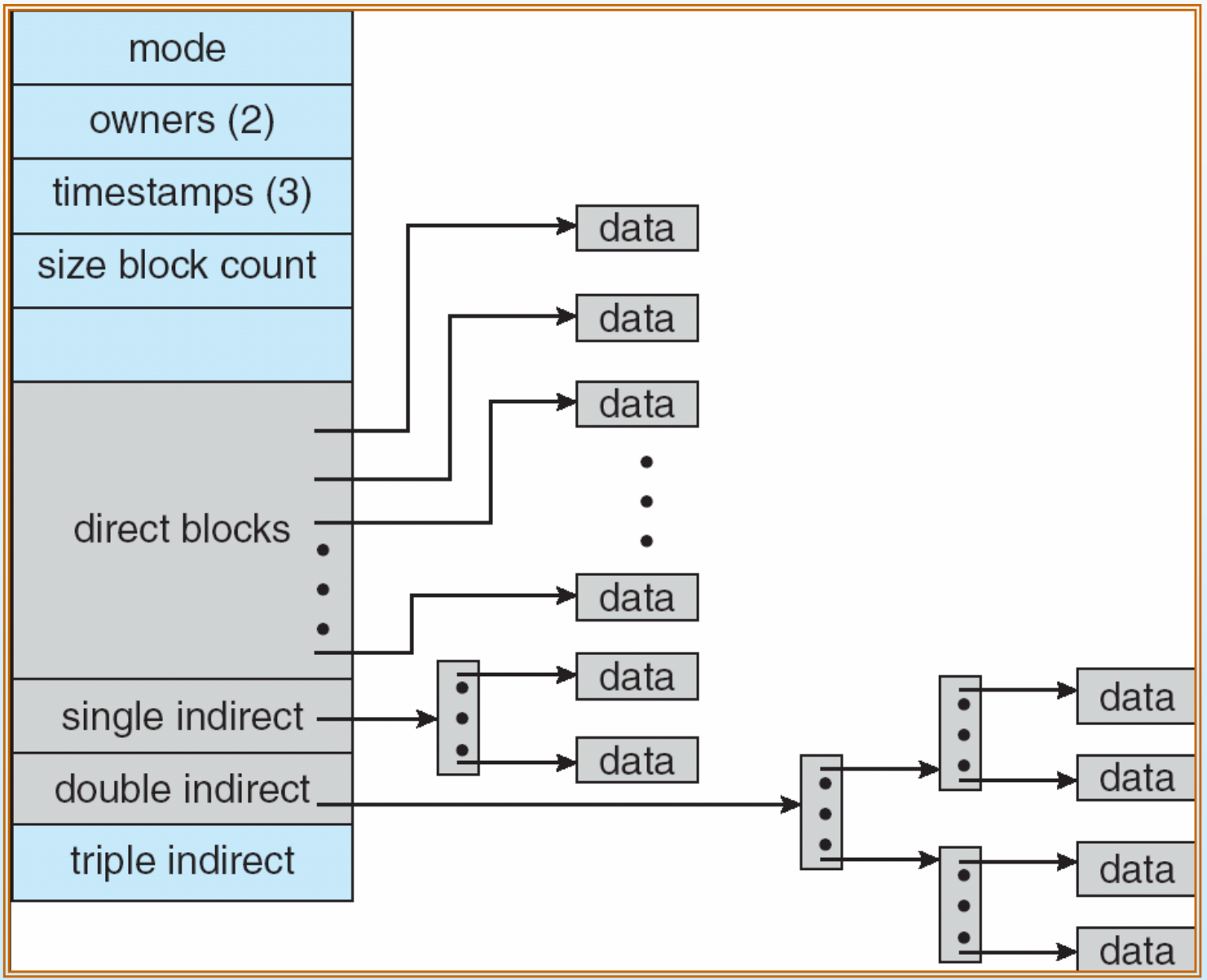


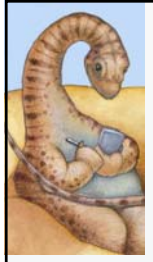
Indexed Allocation – Mapping (Cont.)





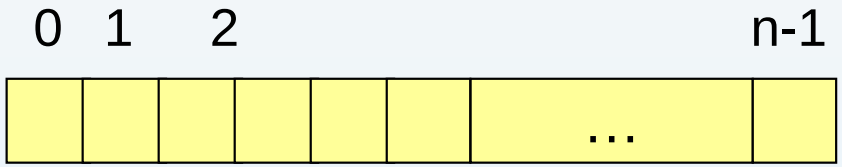
Combined Scheme: UNIX (4K bytes per block)





Free-Space Management

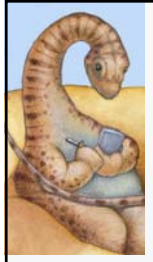
- Bit vector (*n* blocks)



$$bit[i] = \begin{cases} 1 \Rightarrow block[i] \text{ free} \\ 0 \Rightarrow block[i] \text{ occupied} \end{cases}$$

The first free block number calculation

(number of bits per word) *
(number of 0-value words) +
offset of first 1 bit



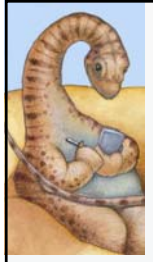
Free-Space Management (Cont.)

- Bit map requires extra space
  - Example:

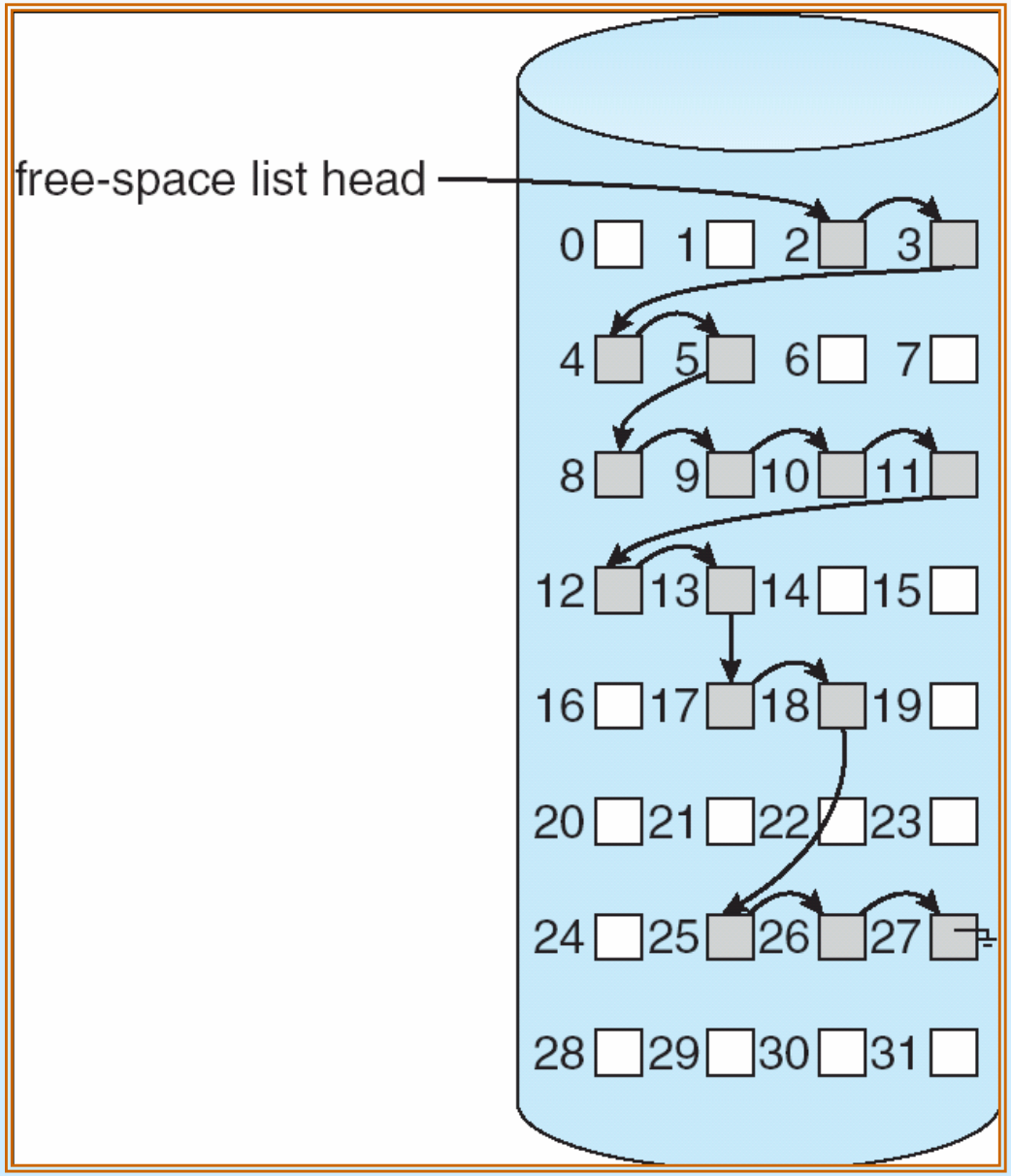
    block size = 2^{12} bytes

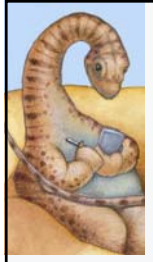
    disk size = 2^{30} bytes (1 gigabyte)

    $n = 2^{30}/2^{12} = 2^{18}$ bits (or 32K bytes)
- Easy to get contiguous files
- Linked list (free list)
  - Cannot get contiguous space easily
  - No waste of space



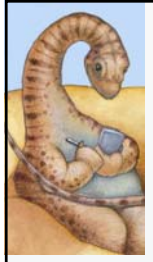
Linked Free Space List on Disk





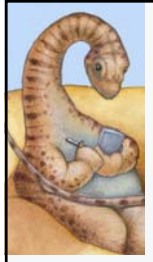
Free-Space Management (Cont.)

- Grouping
  - Store the addresses of n free blocks in the first free block
    - The first n-1 are actually free
    - The last contains the addresses of another n free blocks
  - A large number of free blocks can be found quickly
- Counting
  - The fact
    - Several contiguous blocks may be allocated or freed simultaneously
    - Keep the address of the first free block and the number n of free contiguous blocks that follow the first block



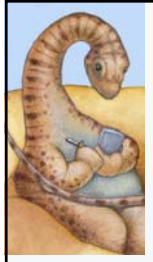
Free-Space Management (Cont.)

- Need to protect:
  - Pointer to free list
  - Bit map
    - Must be kept on disk
    - Copy in memory and disk may differ
    - Cannot allow for block[*i*] to have a situation where bit[*i*] = 0 in memory and bit[*i*] = 1 on disk
      - Unable to recover after crashing
  - Solution:
    - Set bit[*i*] = 0 in disk
    - Allocate block[*i*]
    - Set bit[*i*] = 0 in memory



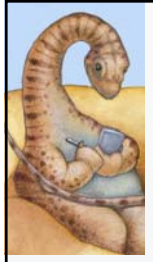
Efficiency and Performance

- Efficiency dependent on:
  - disk allocation and directory algorithms
  - types of data kept in file's directory entry
- Performance
  - disk cache – separate section of main memory for frequently used blocks
  - free-behind and read-ahead – techniques to optimize sequential access
  - improve PC performance by dedicating section of memory as virtual disk, or RAM disk

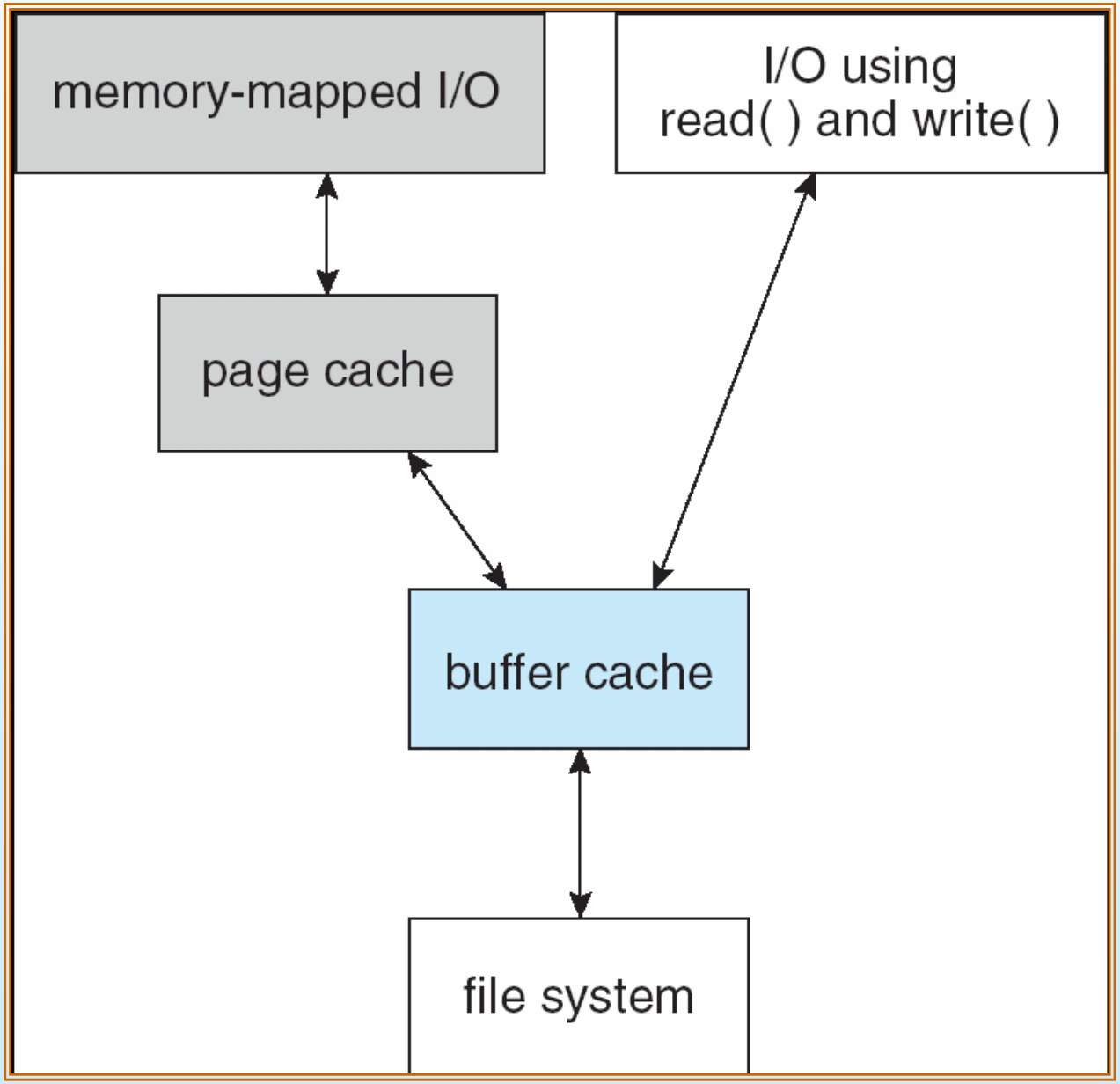


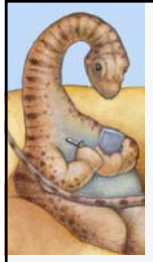
Page Cache

- A **page cache** caches pages rather than disk blocks using virtual memory techniques
  - Caching file data using virtual addresses is far more efficient than caching through disk blocks
    - As accesses interface with virtual memory rather than physical disk blocks
- Double caching problem
  - Memory-mapped I/O uses a page cache
  - Routine I/O through the file system uses the buffer (disk) cache
  - Waste CPU, I/O, memory
- This leads to the following figure



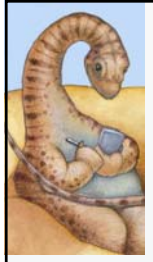
I/O Without a Unified Buffer Cache



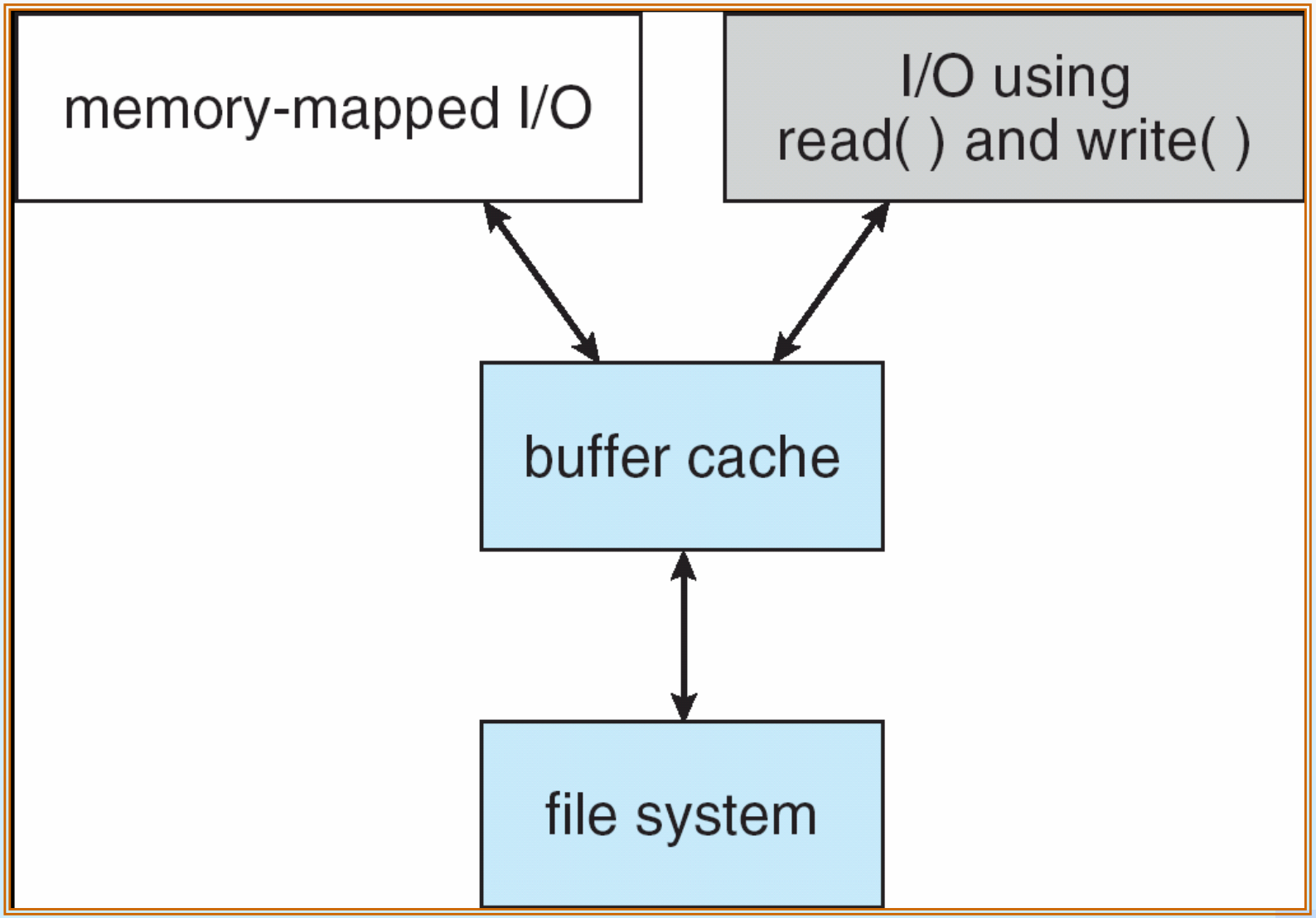


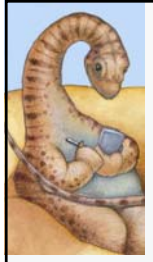
Unified Buffer Cache

- A unified buffer cache uses the same page cache to cache both memory-mapped pages and ordinary file system I/O



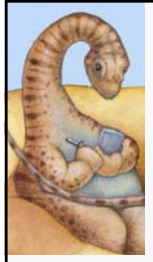
I/O Using a Unified Buffer Cache





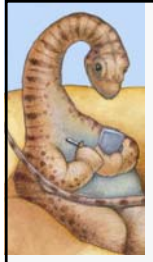
Recovery

- Consistency checking – compares data in directory structure with data blocks on disk, and tries to fix inconsistencies
- Use system programs to **back up** data from disk to another storage device (floppy disk, magnetic tape, other magnetic disk, optical)
- Recover lost file or disk by **restoring** data from backup



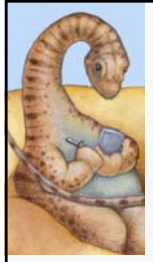
Log Structured File Systems

- **Log structured** (or journaling) file systems record each update to the file system as a **transaction**
- All transactions are written to a **log**
  - A transaction is considered **committed** once it is written to the log
  - However, the file system may not yet be updated
- The transactions in the log are asynchronously written to the file system
  - When the file system is modified, the transaction is removed from the log
- If the file system crashes, all remaining transactions in the log must still be performed
- Logging on dusk metadata updates is much faster than directly applied to the on-disk data structures
  - Sequential I/O has performance advantage over random I/O



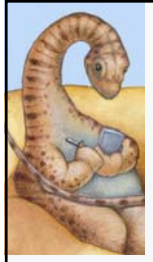
The Sun Network File System (NFS)

- An implementation and a specification of a software system for accessing remote files across LANs (or WANs)
- The implementation is part of the Solaris and SunOS operating systems running on Sun workstations using an unreliable datagram protocol (UDP/IP protocol) and Ethernet



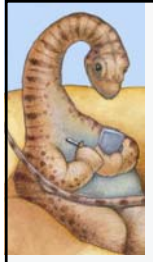
NFS (Cont.)

- Interconnected workstations viewed as a set of independent machines with independent file systems, which allows sharing among these file systems in a transparent manner
  - A remote directory is mounted over a local file system directory
    - The mounted directory looks like an integral subtree of the local file system, replacing the subtree descending from the local directory
  - Specification of the remote directory for the mount operation is nontransparent; the host name of the remote directory has to be provided
    - Files in the remote directory can then be accessed in a transparent manner
  - Subject to access-rights accreditation, potentially any file system (or directory within a file system), can be mounted remotely on top of any local directory

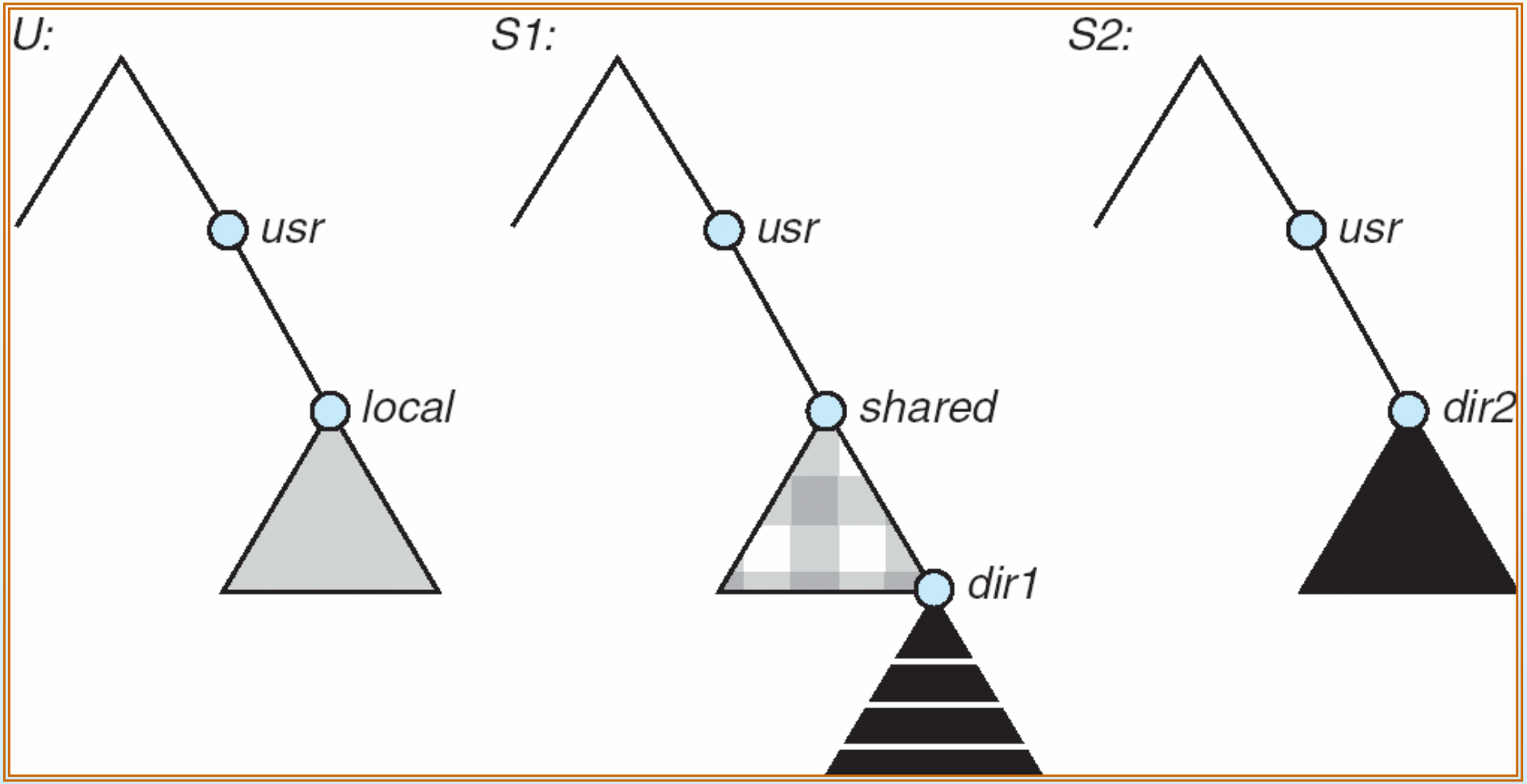


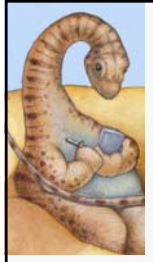
NFS (Cont.)

- NFS is designed to operate in a heterogeneous environment of different machines, operating systems, and network architectures; the NFS specifications independent of these media
- This independence is achieved through the use of RPC primitives built on top of an External Data Representation (XDR) protocol used between two implementation-independent interfaces
- The NFS specification distinguishes between the services provided by a mount mechanism and the actual remote-file-access services

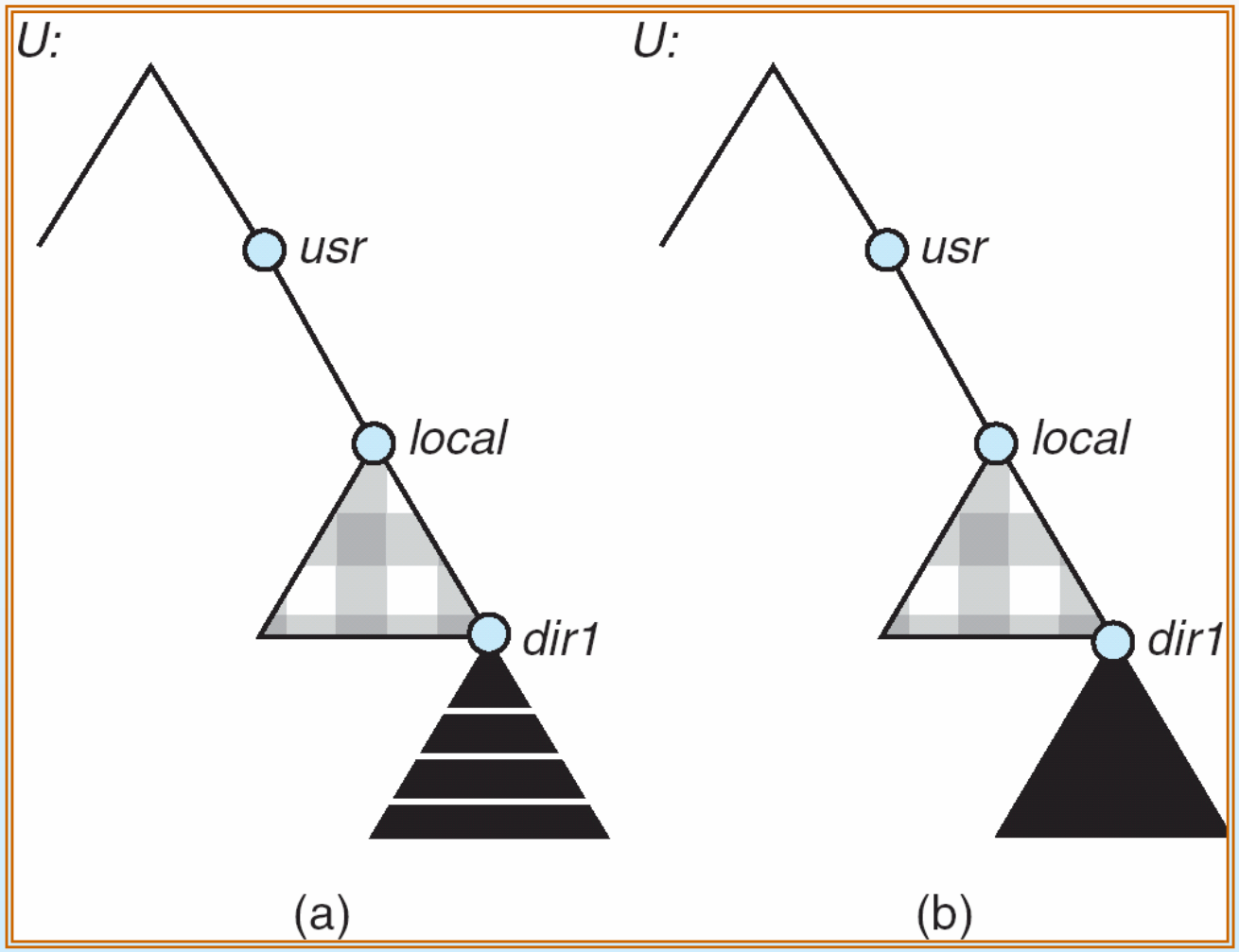


Three Independent File Systems



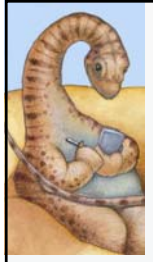


Mounting in NFS



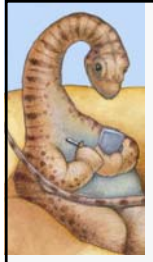
Mounts

Cascading mounts



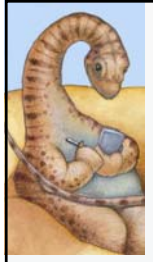
NFS Mount Protocol

- Establishes initial logical connection between server and client
- Mount operation includes name of remote directory to be mounted and name of server machine storing it
  - Mount request is mapped to corresponding RPC and forwarded to mount server running on server machine
  - Export list – specifies local file systems that server exports for mounting, along with names of machines that are permitted to mount them
- Following a mount request that conforms to its export list, the server returns a file handle—a key for further accesses
- File handle – a file-system identifier, and an inode number to identify the mounted directory within the exported file system
- The mount operation changes only the user's view and does not affect the server side



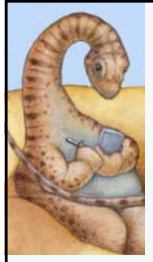
NFS Protocol

- Provides a set of remote procedure calls for remote file operations. The procedures support the following operations:
  - searching for a file within a directory
  - reading a set of directory entries
  - manipulating links and directories
  - accessing file attributes
  - reading and writing files
- NFS servers are **stateless**; each request has to provide a full set of arguments
  (NFS V4 is just coming available – very different, stateful)
- Modified data must be committed to the server's disk before results are returned to the client (lose advantages of caching)
- The NFS protocol does not provide concurrency-control mechanisms
  - Stateless - server has no idea which clients are accessing the file

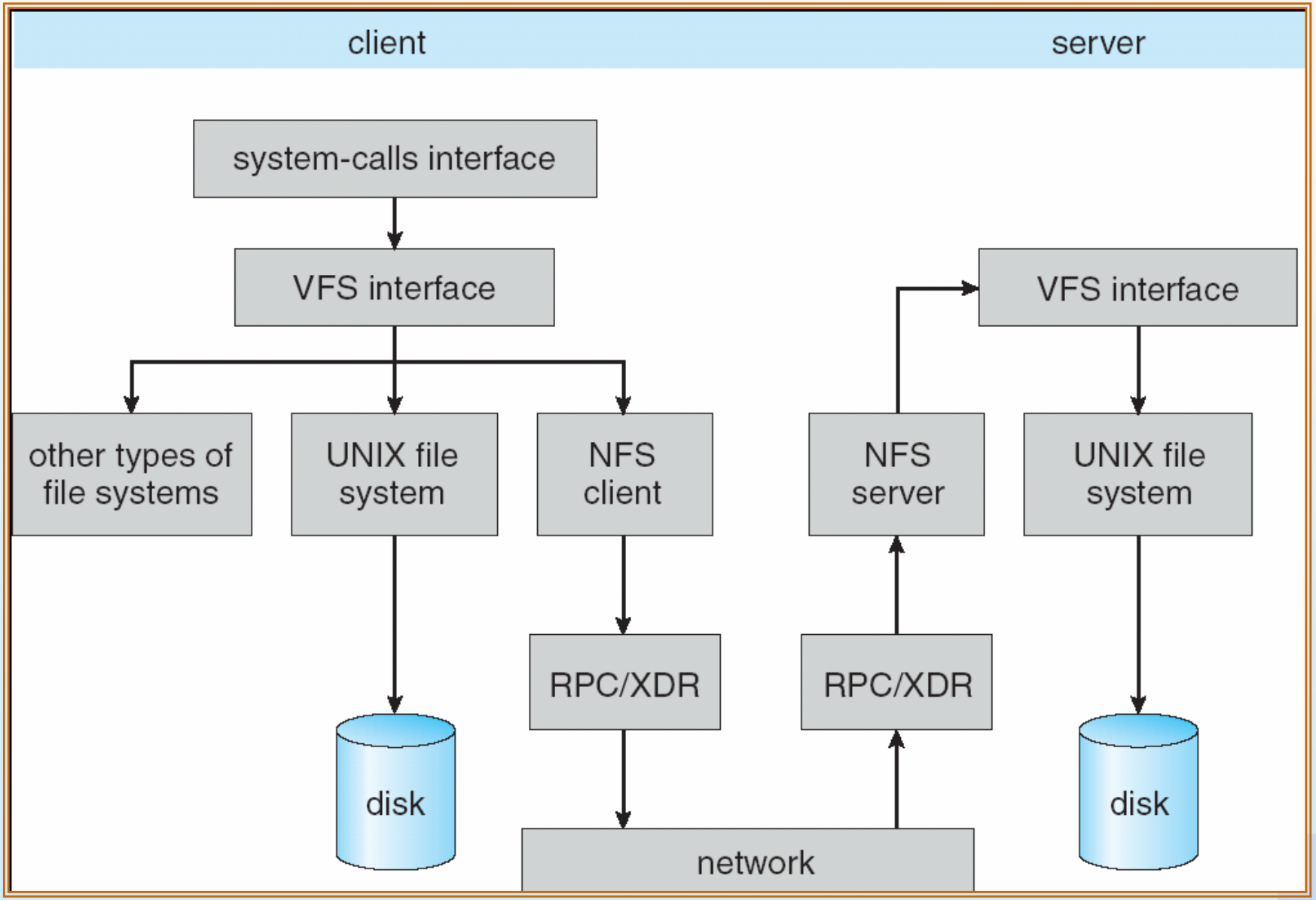


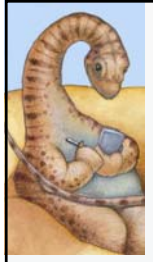
Three Major Layers of NFS Architecture

- UNIX file-system interface (based on the **open, read, write**, and **close** calls, and **file descriptors**)
- *Virtual File System* (VFS) layer – distinguishes local files from remote ones, and local files are further distinguished according to their file-system types
  - The VFS activates file-system-specific operations to handle local requests according to their file-system types
  - Calls the NFS protocol procedures for remote requests
- NFS service layer – bottom layer of the architecture
  - Implements the NFS protocol



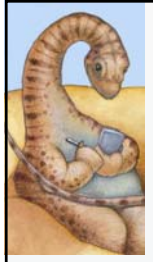
Schematic View of NFS Architecture





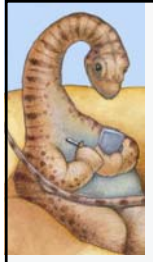
NFS Path-Name Translation

- Performed by breaking the path into component names and performing a separate NFS lookup call for every pair of component name and directory vnode
- To make lookup faster, a directory name lookup cache on the client's side holds the vnodes for remote directory names



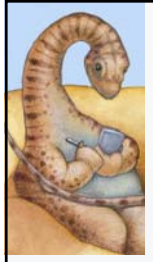
NFS Remote Operations

- Nearly one-to-one correspondence between regular UNIX system calls and the NFS protocol RPCs (except opening and closing files)
- NFS adheres to the remote-service paradigm, but employs buffering and caching techniques for the sake of performance
- File-blocks cache – when a file is opened, the kernel checks with the remote server whether to fetch or revalidate the cached attributes
  - Cached file blocks are used only if the corresponding cached attributes are up to date
- File-attribute cache – the attribute cache is updated whenever new attributes arrive from the server
- Clients do not free delayed-write blocks until the server confirms that the data have been written to disk

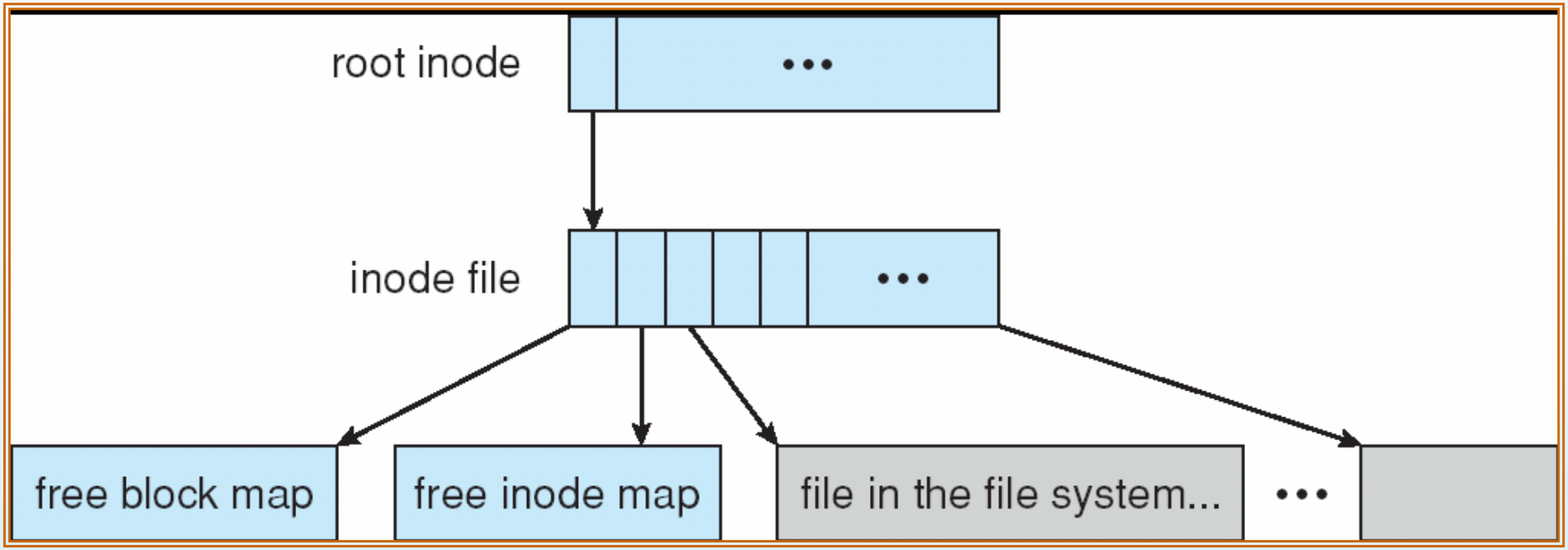


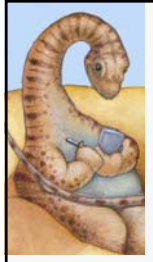
Example: WAFL File System

- Used on Network Appliance “Filers” – distributed file system appliances
- “Write-anywhere file layout”
- Serves up NFS, CIFS, http, ftp
- Random I/O optimized, write optimized
- Similar to Berkeley Fast File System, with extensive modifications

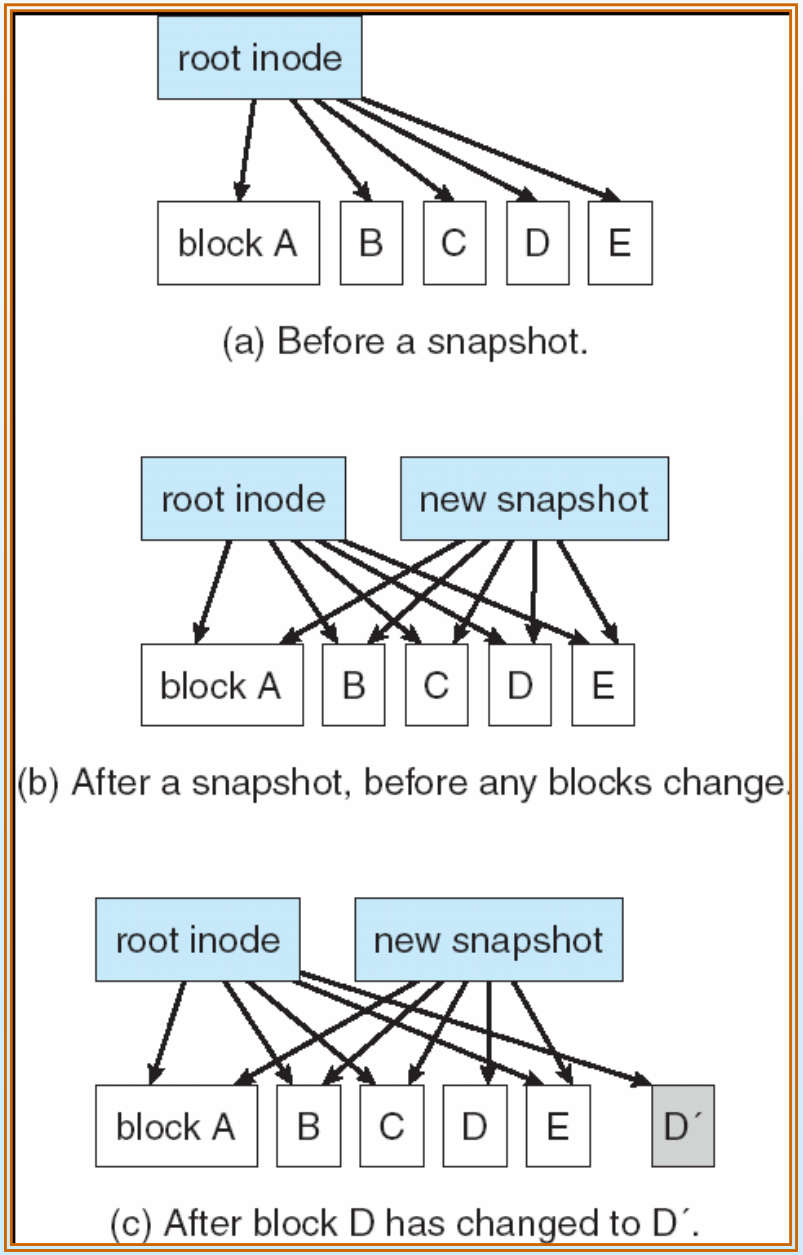


The WAFL File Layout





Snapshots in WAFL



End of Chapter 11